# MAGNIFICENT **JEWELS** Sotheby's 575



# MAGNIFICENT JEWELS





# MAGNIFICENT JEWELS

AUCTION IN NEW YORK 17 APRIL 2019 SALE N10051 10:00 AM

# ALL EXHIBITIONS FREE AND OPEN TO THE PUBLIC

Friday 12 April 10 am-5 pm

Saturday 13 April 10 am-5 pm

Sunday 14 April 1 pm-5 pm

Monday 15 April 10 am-5 pm

Tuesday 16 April 10 am-5 pm

1334 York Avenue New York, NY 10021 +1 212 606 7000 sothebys.com FOLLOW US @SOTHEBYS #SOTHEBYSJEWELS

### Specialists for this Auction



David Bennett
Worldwide Chairman
International Jewelry Division
+1 41 22 908 4842
david.bennett@sothebys.com



Gary Schuler Chairman, North and South America +1 212 606 7095 gary.schuler@sothebys.com



Carol Elkins Senior Specialist +1 212 606 7062 carol.elkins@sothebys.com



Frank Everett
Sales Director
+1 212 894 1902
frank.everett@sothebys.com



Catharine Becket
Senior Specialist
+1 212 894 1027
catharine.becket@sothebys.com



Robin Wright Senior Specialist +1 212 894 1246 robin.wright@sothebys.com



Alexander Eblen Senior Specialist +1 212 894 1742 alexander.eblen@sothebys.com



Quig Bruning Specialist +1 310 786 1860 quig.bruning@sothebys.com



Kendall Reed Specialist +1 212 894 1137 kendall.reed@sothebys.com



Vivian Chen
Associate Cataloguer
+1 212 894 1918
vivian.chen@sothebys.com



Anna Ruzhnikov Associate Cataloguer +1 212 894 1041 anna.ruzhnikov@sothebys.com



Joanna Wheeler Associate Cataloguer +1 212 894 1491 joanna.wheeler@sothebys.com

### **Specialists and Auction Enquiries**

For further information on lots in this auction please contact any of the specialists listed below.

### SALE NUMBER

N10051 "SONOMA"

### BIDS DEPARTMENT

+1 212 606 7414 FAX +1 212 606 7016 bids.newyork@sothebys.com

Telephone bid requests should be received 24 hours prior to the sale. This service is offered for lots with a low estimate of \$5,000 and above.

### CONSULTANT

Lexi Yost

### **HEAD OF MAGNIFICENT JEWELS SALES**

Catharine Becket catharine.becket@sothebys.com +1 212 606 7392 FAX +1 212 606 7014

### SALE COORDINATOR

Orly Brooker orly.brooker@sothebys.com +1 212 894 1507 FAX +1 212 894 7014

### POST SALE SERVICES

Rebecca Kraus

Post Sale Manager

rebecca.kraus@sothebys.com
+1 212 606 7444

### PAYMENT, DELIVERY AND COLLECTION

+1 212 606 7444 FAX +1 212 606 7043 uspostsaleservices@sothebys.com

### CATALOGUE PRICE

\$45 at the gallery

### FOR SUBSCRIPTIONS CALL

+1 212 606 7000 USA +44 (0)20 7293 5000 for UK & Europe

### CONDITION REPORTS

Sotheby's Jewelry department is pleased to offer to potential purchasers Condition Reports of the lots in this catalogue. A Condition Report is an opinion describing in more depth the lot with regards to, but not limited to, the general condition, quality of stones and/or other relevant information.

Please contact:

Nora Clarke nora.clarke@sothebys.com +1 212 894 1506

### **PHOTOGRAPHY**

SquareMoose New York +1 212 643 2505





### Contents

**AUCTION INFORMATION** 

5

SPECIALISTS AND AUCTION ENQUIRIES

۶

### **MAGNIFICENT JEWELS: LOTS 1-153**

153

ABSENTEE BID FORM

155

CONDITIONS OF SALE

156

TERMS OF GUARANTEE
ADDITIONAL TERMS AND CONDITIONS FOR
LIVE ONLINE BIDDING

157

**BUYING AT AUCTION** 

159

SELLING AT AUCTION SOTHEBY'S SERVICES INFORMATION ON SALES AND USE TAX IMPORTANT NOTICES

161

INTERNATIONAL DEPARTMENTS

162

DIAMOND LIST

163

COLORED STONE LIST

164

DIAMOND CLARITY GRADING GLOSSARY OF TERMS

165

DIAMOND COLOR GRADING RING SIZE CHART

168

INDEX OF MAKERS











# THE ART OF JEWELS

At Sotheby's, we are fortunate to spend our days in a perpetually revolving museum, surrounded by awe-inspiring works of art. There are times, however, when we are so absorbed with the world of extraordinary jewels that a phenomenal exhibition will come and go without our ever having left the vault room. This spring, as Sotheby's unveils its spectacular redesigned galleries in New York, we are

inspired to find new ways of engaging with everything from Antiquities to Modern Art. In so doing, we have been reminded that jewelry is very much an extension, and highly personal form, of art. The pairings that follow have been selected for their compelling visual narratives, from the literal to the conceptual. We encourage you to view them through your own lens, drawing your own conclusions.

INDIA, KANGRA OR GULER, CIRCA 1920 AN ILLUSTRATION TO THE HARIVAMSA: KRISHNA AND HIS COMPANIONS (DETAIL) ESTIMATE \$60,000-80,000

TO BE SOLD IN NEW YORK HIMALAYAN & SOUTHEAST ASIAN ART AUCTION 21 MARCH 2019

## **PRECIOSITY**



Prancesca Greppi Fani (1658-1732)
was born in Lake Como, Italy, the
daughter of a prominent banker. In
1671, she married Fabio Fani, only to be
widowed 9 years later. This portrait,
likely executed around the time of her
engagement, speaks to Francesca's youth,
signaled by the froth of flowers in her
hair, and to her high birth, announced
by the presence of rich silks, sumptuous

pearls and an abundance of lace. Lace was a highly valued luxury item in the 17th century, made over the course of many painstaking hours and reserved for the very wealthy.

In the 21st century, Tiffany & Co. reinterpreted this prized material to create the bracelet shown here, graced with diamond-set tracery and delicate seed pearls.

FERDINAND VOET PORTRAIT OF FRANCESCA GREPPI FANI ESTIMATE \$15,000-20,000

TO BE SOLD IN NEW YORK MASTER PAINTINGS AUCTION 22 MAY 2019



### **ENCHANTMENT**



Trishna is among the most revered of all Hindu gods, an exemplar of compassion, tenderness, and love. Here, on the banks of the Yamuna, he and his companions celebrate, bedecked in exquisite jewels and finery of many colors. Krishna is the divine enchanter, his music rousing some guests to dance while lulling others into a state of blissful serenity. Even the fish from the river have surfaced, ineluctably drawn to Krishna's magnetic presence. It is a scene of fantastical bounty and beauty.

It is this vision of India that so captivated the West, the promise of adventure, exoticism and unforetold riches. It is also the dream that Jacques Cartier pursued when he travelled to India in 1911 and the dream he delivered to his clients when he created jewels such as the rare example shown here. Its pendeloque form, designed to be worn high on the shoulder, takes inspiration from the Indian *turah*, a jeweled turban pin. The Mughal aesthetic continues with the carved emerald pendant reminiscent of the Taj Mahal's onion domed finial and the coral and pearl grape cluster motif, a symbol of pleasure in miniature paintings.

Both painting and jewel were created when the world was a far bigger place, but their power to enchant remains to this day. INDIA, KANGRA OR GULER, CIRCA 1920 AN ILLUSTRATION TO THE HARIVAMSA: KRISHNA AND HIS COMPANIONS ESTIMATE \$60,000-80,000

TO BE SOLD IN NEW YORK HIMALAYAN & SOUTHEAST ASIAN ART AUCTION 21 MARCH 2019



# LIGHT



© RICHARD DIEBENKORN FOUNDATION

Por fourth- and fifth-generation jewelers Alfredo Aletto and his sons Luigi and Mario, each piece is a labor of love. Nowhere is this more apparent than in their extraordinary invisibly-set jewels. Painstaking precision is required, particularly when applied to curved surfaces, as seen here with the dramatic undulations of a sapphire bracelet. The stones must be perfectly aligned to allow light to wash smoothly over its surface like gently rolling waves.

In the late 1960s, against a backdrop of war and protest, Richard Diebenkorn executed a group of life-affirming canvases known as the Ocean Park series. Although more commonly associated with the Pacific Ocean, they are in fact studies in light as seen through his studio window, capturing the shimmering tranquility of the sun as it passes over the neighboring hillside.

RICHARD DIEBENKORN *BLUE*, 1984 ESTIMATE \$20,000-30,000

TO BE SOLD IN NEW YORK PRINTS & MULTIPLES EVENING AUCTION 29 APRIL 2019





In ancient Egypt, the sky was the dominion of Horus, and with it the sun and the moon. These celestial bodies formed the god's right and left eyes, the moon shining less brightly only after it was gouged by his Uncle Set, god of chaos, in a battle over Egypt. Horus was not unavenged, however, for he conferred upon Set a less apparent but far more personal injury.

Protector of pharaohs and all beings on earth below, Horus is frequently depicted as a falcon, bathed in shades of blue.



LOT 33, PAGE 52

# **CIRCUS**



© 2019 SUCCESSION H. MATISSE / ARTISTS RIGHTS SOCIETY (ARS), NEW YORK

At the age of 74, the artist underwent surgery for abdominal cancer, leaving him almost immobile. No longer able to paint or sculpt, he channeled his imagination into the arrangement of colored paper cutouts, ultimately leading to a total of twenty

compositions. Rendered in riotous colors with crisp, often jagged outlines, each form captures energy in various stages of release: the trapeze artist, the knife thrower, the wolf. Though a shadow of his former physical self, Matisse thus became even more vibrant, more vital, through his work.

HENRI MATISSE *JAZZ*, 1947 ESTIMATE \$800,000-1,200,000

TO BE SOLD IN NEW YORK PRINTS & MULTIPLES EVENING AUCTION 29 APRIL 2019



### **HEAVEN**



Rosy-cheeked and golden-curled, putti are alternately protectors and instigators. The side to which a putto falls may be derived from context. Drawing an arrow? He's an emissary of love. Wearing a mask? Then he's is up to no good. A pair of putti, frolicking on a cloud, therefore, surely signals sweet dreams.

As symbols, putti have been employed since antiquity, taking on both secular

and ecclesiastical meanings. Sometimes, however, they are simply motifs, designed to enhance the aesthetic and character of a piece. It is not known if the putti found on Paulding Farnham's extraordinary sautoir and earrings carry any special significance, but here, joined by caryatids, mermaids, and knights, they form an enthralling example of Renaissance Revivalism.

FOLLOWER OF CHARLES-DOMINIQUE-JOSEPH EISEN TWO PUTTI ON A CLOUD HOLDING FLOWERS ESTIMATE \$3,000-5,000

TO BE SOLD IN OLD MASTERS ONLINE AUCTION 16 APRIL-1 MAY 2019



# **PASSION**



© 2019 THE ANDY WARHOL FOUNDATION FOR THE VISUAL ARTS, INC. / LICENSED BY ARTISTS RIGHTS SOCIETY (ARS), NEW YORK

Whith parted lips, lowered eyes, and not the slightest crease to mar her skin, Marilyn is immortalized by Andy Warhol as the ultimate sex symbol. His commentary on Monroe as a product—of fame, consumerism, sensationalism—is made all the more poignant by the fact that she herself created the product,

transforming Norma Jean Baker into an object of infatuation. Not all infatuations, however, lead to unfortunate endings. They can fuel our passions, drive us to reach new heights and, in the case of beautiful jewel, permit us to indulge in something truly wonderful.

ANDY WARHOL *MARILYN*, 1967 ESTIMATE \$150,000-250,000

TO BE SOLD IN NEW YORK PRINTS & MULTIPLES EVENING AUCTION 29 APRIL 2019

"I've never met a person I couldn't call a beauty."

- Andy Warhol



LOT 147, PAGE 142

# POWER



TOLIMA GOLD FIGURAL PENDANT, CIRCA 500-1000 A.D. ESTIMATE \$15,000-25,000

TO BE SOLD IN NEW YORK ART OF AFRICA, OCEANIA & THE AMERICAS AUCTION 13 MAY 2019

26



David Webb built his reputation on unabashedly bold designs for confident women. He drew inspiration from a multitude of sources including weekly visits to the Metropolitan Museum of Art. From galleries filled with ancient Greek, Chinese and African art, Webb developed a stunning visual vocabulary, wrought in hammered gold and monumental gemstones.

Here, we have the ultimate power cuff. Its scroll-form shoulders, evocative of Pre-Columbian art, support a tiger's-eye quartz that is positively monolithic. Place it on the wrist and watch as confidence soars.

LOT 9, PAGE 40

# FORM



MEZCALA STONE FIGURE, CIRCA 300-100 B.C. ESTIMATE \$20,000-30,000

TO BE SOLD IN NEW YORK ART OF AFRICA. OCEANIA & THE AMERICAS AUCTION 13 MAY 2019

The Mezcala culture is believed to have developed between 300 and 100 B.C. in southwestern Mexico. What little we know of its people has been derived from highly abstract stone sculptures such as the one shown here. Simple forms can be mesmerizing. Minimal distraction, no getting lost in the details. A nearly blank canvas on which to project all we wish to see.



LOT 24, PAGE 48

# NURTURE



Jean Arp maintained that art is like "fruit growing out of man, like the fruit out of a plant, like the child out of the mother." It is the interference of reason, he argued, that compels man to ignore his nature and become tragic.

THE GERALD L. LENNARD FOUNDATION COLLECTION JEAN ARP NID ENCHANTEUR, 1972 ESTIMATE \$250,000-350,000

TO BE SOLD IN NEW YORK IMPRESSIONIST & MODERN ART DAY SALE, INCLUDING WORKS FROM THE COLLECTION OF JOE R. AND TERESA L. LONG
15 MAY 2019



### **CHROMOPHILIA**



Chemist Michel Eugène Chevreul (1786-1889) was asked to aid a Parisian tapestry firm in crisis: their dyes were too weak. The dyes, he contended, were just fine. It was the perceived weakening of color when the individual threads were woven together that created the problem. The wrong combination of colors diminishes their vibrancy, but the correct adjacencies will strengthen it. Chevreul's findings laid the foundation for the Pointillist movement in France, but their impact

resonated around the world, for decades to come, as seen here with a work by Mexican artist Pedro Coronel (1922-1985).

Coronel began his career as a student of famed muralist Diego Rivera before moving to Paris in 1946, where he studied under Victor Brauner and Constantin Brancusi. The painting represents a synthesis of these influences, merging rich hues with form, line and abstraction to create a striking yet harmonious composition.

PEDRO CORONEL *SIN TÍTULO*, 1964 ESTIMATE \$40,000-60,000

TO BE SOLD IN NEW YORK IMPRESSIONIST ART DAY SALE 15 MAY 2019



# **METAMORPHOSIS**



MAORI GREENSTONE PENDANT, HEI TIKI ESTIMATE \$12,000-15,000

TO BE SOLD IN NEW YORK PACIFIC ART FROM THE COLLECTION OF HARRY A. FRANKLIN, BEVERLY HILLS AUCTION 13 MAY 2019 ei tiki pendants were worn by high ranking officials. The *mana*, or power, contained within these figures was thought to grow stronger with each generation, creating a cumulative inheritance. What these objects represent is a mystery. They possess both animal (birds and amphibians) as well as human characteristics, suggesting a connection to nature, and to one's ancestors.

Jeweler Mario Masenza (1913-1975) established the "School of Rome" in the 1940s with a group of artists including Cannilla, Guerrina, Afro and Basaldella. They adopted an experimental approach, combining ancient metalwork techniques with contemporary aesthetics to create jewels with a surrealist, metamorphic quality: attenuated female figures, skeletonized fish and humanoids, all abstracted to the point of intriguing indecipherability.



LOT23, PAGE 48



PROPERTY FROM A PRIVATE COLLECTION, LAS VEGAS, NEVADA

#### GOLD AND DIAMOND NECKLACE, DAVID WEBB

Supporting a geometric openwork pendant set with round diamonds, the chain composed of similarly designed links alternating with octagonal gold links, gross weight approximately 115 dwts, length 223/4 inches, signed Webb.

US\$ 20,000-30,000

PROPERTY FROM A PRIVATE COLLECTION, LAS VEGAS, NEVADA

#### GOLD AND DIAMOND CUFF-BRACELET, DAVID WEBB

Of hinged design, the central buckle motif embellished with round diamonds, gross weight approximately 54 dwts, internal circumference 6 inches, signed Webb.

US\$ 12,000-15,000



PROPERTY FROM A PRIVATE COLLECTION, LAS VEGAS. NEVADA

### PAIR OF GOLD, EMERALD, DIAMOND AND ENAMEL EARCLIPS, DAVID WEBB

Designed as a pair of tigers, with bands of black enamel and round diamonds forming the stripes, the eyes set with cabochon emeralds, total gross weight approximately 20 dwts, signed Webb.

US\$ 10,000-15,000

4

PROPERTY FROM A PRIVATE COLLECTION, LAS VEGAS. NEVADA

### GOLD, DIAMOND, EMERALD AND ENAMEL CLIP-BROOCH, DAVID WEBB

Designed as a tiger, the body applied with stripes of black enamel and round diamonds, the eyes accented with pear-shaped emeralds, gross weight approximately 28 dwts, signed Webb.

US\$ 8,000-12,000

5

PROPERTY FROM A PRIVATE COLLECTION, LAS VEGAS. NEVADA

#### GOLD AND DIAMOND NECKLACE-BRACELET COMBINATION, VAN CLEEF & ARPELS, FRANCE

Composed of lozenge and pear-shaped links set with round diamonds, bracelet lengths 7½ inches, bracelets may be worn together as a choker-necklace, each signed VCA, with French export and workshop marks.

US\$ 15,000-20,000





PROPERTY FROM A PRIVATE COLLECTION, LAS VEGAS, NEVADA

# GOLD AND RUBY PENDANT-BROOCH, DAVID WEBB

Designed as a textured gold Maltese cross, centering a cabochon ruby, gross weight approximately 38 dwts, signed Webb.

US\$ 5,000-7,000

7

PROPERTY FROM A PRIVATE COLLECTION, LAS VEGAS, NEVADA

# PAIR OF GOLD, JADE AND DIAMOND EARCLIPS, DAVID WEBB

Each centering a jade plaque carved with a foliate motif, accented with round diamonds, within hammered gold frames, *signed Webb*. Fitted with posts.

US\$ 6,000-8,000







# GOLD, TIGER'S-EYE QUARTZ AND DIAMOND CUFF-BRACELET, DAVID WEBB

Featuring a tiger's-eye quartz cabochon measuring approximately 2 x 1½ inches, in a stylized hammered gold mounting, accented by round diamonds, gross weight approximately 82 dwts, internal circumference 6¾ inches, signed Webb.

US\$ 20,000-30,000

10

#### PROPERTY OF A LADY

# GOLD, EMERALD, RUBY & DIAMOND 'RAJA TEARS' BRACELET, DAVID WEBB

Of buckle design, set with a pear-shaped cabochon emerald and a pear-shaped cabochon ruby, encircled by round diamonds, round rubies, round and single-cut emeralds, on a hinged and fluted gold bracelet, gross weight approximately 72 dwts, *internal circumference* 7 inches, signed Webb; circa 1969.

### LITERATURE

Illustrated in David Webb, The Quintessential American Jeweler by Ruth Peltason, page 240.

US\$ 25,000-35,000







# PAIR OF RUBY AND DIAMOND EARCLIPS, VAN CLEEF & ARPELS

The surmount designed as flowerheads, set with oval-shaped rubies, accented by round diamonds in heart motifs, suspending a fringe of oval-shaped rubies, signed Van Cleef & Arpels, numbered N.Y. 54521. Fitted with posts.

US\$ 60,000-80,000

12

PROPERTY OF A LADY

### GOLD, DIAMOND AND RUBY CLIP-BROOCH, SCHLUMBERGER FOR TIFFANY & CO., FRANCE

Designed as a stylized fruit, set with round diamonds, highlighted by round rubies, further adorned by gold accents, signed Tiffany, Schlumberger, Made in France.

US\$ 25,000-35,000





### GOLD, RUBY AND DIAMOND CUFF-BRACELET, MARIO BUCCELLATI

Of hinged design, the brushed gold cuff featuring three oval-shaped rubies, highlighted with round diamonds, gross weight approximately 54 dwts, internal circumference 63% inches, signed M. Buccellati Italy, with Italian registry mark. With signed box.

US\$ 35,000-45,000

14

### GOLD AND DIAMOND CUFF-BRACELET, MARIO BUCCELLATI

The brushed gold cuff of hinged design, set with round diamonds, gross weight approximately 64 dwts, internal circumference 6½ inches, signed M. Buccellati Italy, with Italian registry mark. With signed box.

US\$ 30,000-50,000



# GOLD AND DIAMOND NECKLACE, CARTIER

Composed of rectangular and oval-shaped gold links, accented by round diamonds, gross weight approximately 88 dwts, *length 31 inches, signed Cartier, numbered 32092.* 

US\$ 30,000-50,000

16

### RUBY AND DIAMOND RING, VAN CLEEF & ARPELS

Centering an oval-shaped cabochon ruby measuring approximately 13.0 x 10.3 x 8.4 mm, framed and accented by round diamonds, size 6½, signed VCA, numbered 773H-1; circa 1985.

Accompanied by a Certificate of Authenticity from Van Cleef & Arpels.

US\$ 25,000-35,000





PROPERTY OF A LADY

# PAIR OF EMERALD AND DIAMOND EARCLIPS, VAN CLEEF & ARPELS, FRANCE

Of cascading cluster design, set with round diamonds, accented by oval-shaped and round emeralds, signed Van Cleef & Arpels, numbered 94280, with French assay and workshop marks.

US\$ 40,000-60,000

18

# DIAMOND, EMERALD AND RUBY CLIPBROOCH, DAVID WEBB

Designed as a unicorn, pavé-set throughout with round diamonds, the eye set with a marquise-shaped ruby, accented by pear-shaped emeralds, signed David Webb, one diamond missing.

US\$ 30,000-50,000



### PROPERTY OF A LADY, TEXAS

### DIAMOND PENDANT, JULIUS COHEN

Centering an emerald-cut diamond weighing 10.44 carats, framed and accented by baguette diamonds, signed Julius Cohen.

Accompanied by GIA report no. 2191494665 dated July 9, 2018 stating that the diamond is U-V color, VS2 clarity.

US\$ 25,000-35,000

#### 20

#### PROPERTY OF A LADY

### DIAMOND NECKLACE, HARRY WINSTON

Set with three rows of round diamonds, internal circumference 15¼ inches, with maker's mark for Harry Winston.

US\$ 40,000-60,000





### PROPERTY FROM A NEW YORK COLLECTION

# PAIR OF DIAMOND EARCLIPS, HARRY WINSTON

The flexible hoops set with round diamonds, signed Winston, with maker's mark for Jacques Timey.

US\$ 40,000-60,000

22

### GOLD AND DIAMOND RING, CARTIER, FRANCE

Of bombé design, set with round diamonds, size 7½, signed Cartier, numbered 678 331, with French assay mark.

US\$ 15,000-20,000



#### PROPERTY OF A LADY

### GOLD AND DIAMOND BRACELET, CANNILLA FOR MASENZA

The hammered openwork gold forming an organic design, studded with round and old European-cut diamonds, gross weight approximately 56 dwts, inner circumference 6½ inches, signed Cannila Masenza Roma, with Italian assay and registry marks; circa 1950s.

Mario Masenza (1913-1985) was heir to the jewelers who supplied the Italian Royal Family. In Rome, trading under the family name Masenza, their jewelry shop was founded in 1924. In the 1940s Mario Masenza established the 'School of Rome.' This group of artists including Cannilla, Guerrini, Afro and Mirko Basaldella, adopted an experimental and creative approach to fashioning jewelry, fusing ancient metalwork techniques with contemporary aesthetics.

US\$ 6,000-8,000

24

# ROCK CRYSTAL, DIAMOND AND ENAMEL RING, DAVID WEBB

Centered on a navette-shaped arch of faceted rock crystal, set between lines of round diamonds and black enamel, size 6½, signed Webb.

US\$ 15,000-20,000

25

#### DIAMOND RING, CARTIER

Designed as three rows of square-cut diamonds, size 5, signed Cartier, with British hallmarks. With signed box.

US\$ 15,000-20,000



# GOLD, RUBY, DIAMOND AND ENAMEL BRACELET, DAVID WEBB

Designed as a tiger's head, the eyes bezel-set with pear-shaped rubies, accented by round diamonds, and applied throughout with black enamel, gross weight approximately 88 dwts, internal circumference 63/4 inches, signed David Webb.

US\$ 30,000-50,000

27

# ONYX AND DIAMOND BRACELET, ALETTO BROTHERS

Designed as a band of onyx beads each studded with a bezel-set round diamond, *length 7 inches*, *signed Aletto Bros*, *numbered 52*. With signed pouch.

US\$ 12,000-15,000



PROPERTY OF A LADY, TEXAS

### PAIR OF SAPPHIRE AND DIAMOND EARCLIPS

Centering two oval-shaped sapphires weighing 12.51 and 11.34 carats, each framed by tapered baguette diamonds, signed Wander, France, with French export marks.

Accompanied by AGL report no. 1098937 A and B dated March 7, 2019 stating that the sapphires are of Madagascar origin, with no indications of heating.

Accompanied by two GIA reports:

No. 6193572403 and no. 1192572525, each dated August 16, 2018, stating that the sapphires are of Ceylon origin, with no indications of heating.

SOTHEBY'S

US\$ 45,000-65,000

29

PROPERTY FROM A NEW YORK COLLECTION

# GOLD AND DIAMOND BRACELET, VAN CLEEF & ARPELS

Of ropetwist design, composed of interlocking gold hoops, accented by round diamonds, gross weight approximately 100 dwts, *length* 7½ inches, signed Van Cleef & Arpels. numbered 40851. With signed pouch.

US\$ 25,000-35,000

30

PROPERTY OF A LADY, TEXAS

# SAPPHIRE AND DIAMOND RING, OSCAR HEYMAN & BROTHERS

Centering a cushion-cut sapphire weighing 22.46 carats, framed by tapered baguette diamonds, size 6½, numbered 29530, with maker's mark for Oscar Heyman & Brothers.

Accompanied by AGL report no. 1098936 dated March 7, 2019 stating that the sapphire is of Madagascar origin, with no indications of heating

Accompanied by GIA report no. 2195494631 dated July 19, 2018 stating that the sapphire is of Burmese origin, with no indications of heating.

US\$ 50,000-70,000



US\$ 60,000-80,000





PROPERTY OF A LADY

# PAIR OF AQUAMARINE AND LACE AGATE EARCLIPS, HEMMERLE

Suspending boules interwoven with lace agate beads, from domed surmounts pavé-set with round aquamarines, signed Hemmerle, with maker's mark.

US\$ 15,000-20,000

33

PROPERTY OF AN AMERICAN COLLECTOR

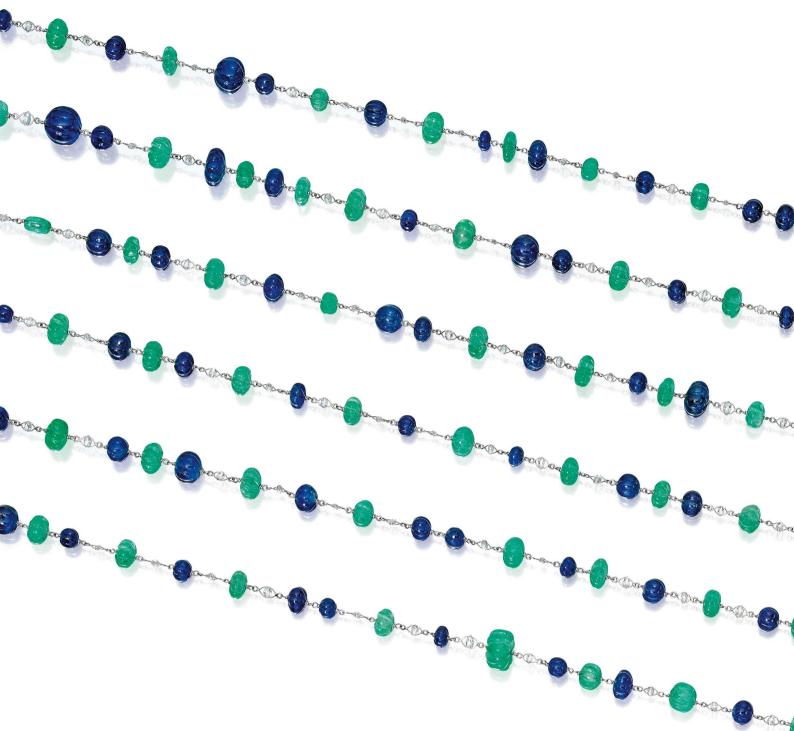
# PAIR OF SAPPHIRE AND DIAMOND PENDANT-EARCLIPS, BULGARI

Set with two oval-shaped sapphires weighing 7.53 and 7.02 carats, suspending two pear-shaped sapphires weighing 12.86 and 11.02 carats, spaced by round and baguette diamonds, *signed Bulgari*.

Accompanied by AGL report no. 1097641 A and B dated December 19th, 2018 stating that the sapphires weighing 7.53 and 7.02 carats are of Ceylon origin, with no indications of heating.

US\$ 35,000-55,000

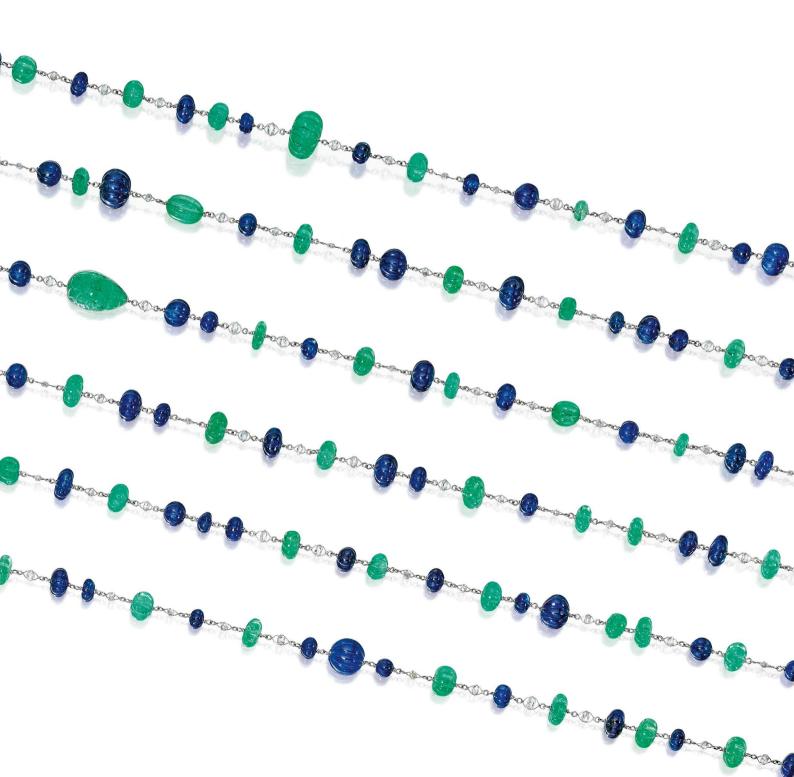




# SAPPHIRE, EMERALD AND DIAMOND LONGCHAIN

Set with numerous carved sapphire and emerald beads, spaced at intervals by diamond briolettes, *length 175 inches*.

US\$ 30,000-50,000









### WHITE GOLD AND DIAMOND 'PASHA' WRISTWATCH, CARTIER

The circular silvered dial with Arabic numeral and baton indicators, the bezel, bracelet and crown set with round diamonds, automatic movement, internal circumference 61/4 inches, the dial, clasp and caseback signed Cartier, numbered 87956LX, with Swiss hallmarks and maker's mark.

US\$ 50,000-70,000

36

### DIAMOND, ONYX AND EMERALD 'PARROT' RING, CARTIER, FRANCE

Designed as two opposing parrots, the bodies set with round diamonds, accented by round emerald eyes and onyx beaks, size 51/4, signed Cartier, numbered WO6522, with French assay and Swiss hallmarks.

US\$ 30,000-50,000

37

#### PROPERTY OF A LADY

#### DIAMOND BRACELET, CARTIER, FRANCE

Composed of links resembling columns set with round diamonds, gross weight approximately 93 dwts, length 71/8 inches, signed Cartier, numbered 770741, with French assay and workshop marks. With signed box.

US\$ 60,000-80,000



PROPERTY FROM A PRIVATE COLLECTION, DALLAS, TEXAS

### DIAMOND RING

Set with an oval-shaped diamond weighing 6.69 carats, between a pair of triangle-shaped diamonds, accented with round diamonds, size 83/4.

Accompanied by GIA report no. 5171259845 dated March 11, 2019 stating that the diamond is K color, VS2 clarity.

US\$ 40,000-60,000

39

PROPERTY FROM AN IMPORTANT PRIVATE COLLECTION

### DIAMOND NECKLACE

Designed as a line of baguette and square-cut diamonds splitting at the front into two rows, set at intervals with emerald-cut diamonds, length 15½ inches, numbered 122756.

US\$ 50,000-70,000



# SAPPHIRE AND DIAMOND BRACELET, ALETTO BROTHERS

Composed of arched links reminiscent of waves, invisibly-set with calibré-cut sapphires, the sides adorned with round diamonds, gross weight approximately 71 dwts, internal circumference 61/4 inches, signed Aletto Bros.

US\$ 150,000-200,000









#### PROPERTY OF A LADY

### DIAMOND RING

Set with a round diamond weighing 10.11 carats, highlighted by two tapered baguette diamonds, size 4.

Accompanied by GIA report no. 2201057906 dated February 11, 2019 stating that the diamond is H color, SII clarity.

US\$ 150,000-250,000

**42** 

### PAIR OF SAPPHIRE AND DIAMOND EARRINGS

Suspending two cushion-cut sapphires together weighing 29.63 carats, bordered by round diamonds, surmounted by cushion-cut diamonds.

Accompanied by AGL report no. CS 56513 A and B dated September 4, 2013 stating that the sapphires are of Ceylon origin, with no indications of heating.

Accompanied by GIA report no. 2135473769 dated June 7, 2011 stating that the sapphires are of Ceylon origin, with no indications of heating.

US\$ 100,000-150,000

43

#### PAIR OF DIAMOND STUDS

The studs set with two round novelty-cut diamonds weighing 4.14 and 4.08 carats.

Accompanied by two GIA reports:

No. 1162105697 dated May 7, 2014 stating that the diamond weighing 4.14 carats is H color, VS1 clarity.

No. 2165253495 dated June 18, 2014 stating that the diamond weighing 4.08 carats is H color, VS1 clarity, with Excellent Polish and Symmetry.

US\$ 80,000-100,000



US\$ 25,000-35,000





### SAPPHIRE AND DIAMOND RING

Centering an emerald-cut sapphire weighing 8.10 carats, set between two emerald-cut diamonds, *size* 5¾.

US\$ 12,000-15,000

**47** 

### PAIR OF DIAMOND EARCLIPS

Composed of clusters of marquise and pearshaped diamonds.

Accompanied by 13 GIA reports and one Diamond Dossier dated between July 26, 2012 and June 5, 2018 stating that the diamonds weighing from 0.91 to 1.12 carats are from D to G color, SI1 clarity.

US\$ 45,000-55,000



# SEED PEARL AND DIAMOND BRACELET, TIFFANY & CO.

Composed of five openwork panels of geometric design, set with round and pear-shaped diamonds in millegrained settings, further adorned with numerous strands of seed pearls, length 634 inches, signed Tiffany & Co., numbered 29362165, with British hallmarks.

US\$ 25,000-35,000







### EMERALD AND DIAMOND RING

Set with an emerald-cut emerald weighing 5.33 carats, framed by triangle and trapezoidshaped diamonds, further highlighted by round diamonds, size 6.

Accompanied by AGL report no. 1082744 dated March 22, 2017 stating that the emerald is of Colombian origin, clarity enhancement: none.

Accompanied by SSEF report no. 88810 dated November 17, 2016 stating that the emerald is of Colombian origin, clarity enhancement: none.

US\$ 150,000-200,000

50

PROPERTY FROM AN IMPORTANT PRIVATE COLLECTION

#### PAIR OF DIAMOND EARCLIPS, DAVID WEBB

Designed as stylized knots, set with numerous round diamonds, signed Webb.

US\$ 8,000-12,000



PROPERTY FROM A PRIVATE COLLECTION, NEW YORK, NY

#### DIAMOND RING

Set with an old European-cut diamond weighing 5.55 carats, size  $6\frac{1}{2}$ .

Accompanied by GIA report no. 1196711294 dated October 2, 2018 stating that the diamond is H color, VS2 clarity.

US\$ 70,000-90,000

### 52

# CULTURED PEARL AND DIAMOND NECKLACE

Composed of 37 pearls measuring approximately 17.0 to 13.0 mm, completed by a boule-shaped clasp pavé-set with round diamonds, *length 22¾ inches*.

US\$ 25,000-35,000



PROPERTY FROM AN IMPORTANT PRIVATE COLLECTION

#### EMERALD AND DIAMOND NECKLACE

Composed of a line of 35 emerald-cut emeralds spaced by pairs of baguette diamonds, length 17 inches.

US\$ 30,000-50,000

**54** 

PROPERTY FROM AN IMPORTANT PRIVATE COLLECTION

#### EMERALD AND DIAMOND BRACELET

Set with 15 emerald-cut emeralds, spaced by pairs of baguette diamonds, length 7 inches.

US\$ 15,000-20,000





#### PROPERTY OF A COLLECTOR

# PAIR OF EMERALD, NATURAL PEARL AND DIAMOND EARRINGS

One earring suspending a drop-shaped emerald measuring approximately  $11.5 \times 10.9$  mm, the other supporting a natural pearl measuring approximately  $10.7 \times 9.8$  mm, each capped and accented by single-cut and old European-cut diamonds.

Accompanied by AGL report no. 1097911 dated January 8, 2019 stating that the emerald is of Colombian origin, clarity enhancement: minor, type: traditional.

Accompanied by a photocopy of GIA report no. 2155153321 dated December 18, 2012 stating that the pearl is natural, saltwater.

US\$ 30,000-50,000

#### 56

### PROPERTY FROM A PRIVATE COLLECTION

#### DIAMOND RING

Set with a pear-shaped diamond weighing 5.07 carats,  $size 6\frac{1}{2}$ .

Accompanied by GIA report no. 5202033397 dated February 4, 2019 stating that the diamond is F color, VS2 clarity.

US\$ 70,000-90,000





# EMERALD AND DIAMOND RING, TIFFANY & CO.

Centering a round cabochon emerald weighing 3.93 carats, framed and accented by old European-cut diamonds, size 5½, signed Tiffany & Co; circa 1920. With signed box.

Accompanied by AGL report no. CS 72310 dated December 21, 2015 stating that the emerald is of Colombian origin, clarity enhancement: insignificant to minor, type: traditional.

US\$ 75,000-100,000

**58** 

### PROPERTY OF A LADY

#### RUBY AND DIAMOND RING

Set with an oval-shaped ruby weighing approximately 3.90 carats, the mounting set with round diamonds, size 4½.

Accompanied by AGL report no. 1084819 dated June 22, 2017 stating that the ruby is of Classic Burmese origin, with no indications of heating.

US\$ 250,000-350,000

**59 NO LOT** 





### PROPERTY OF A LADY

### DIAMOND BRACELET

Of geometric design, set with old European-cut diamonds, accented with baguette diamonds, length 6½ inches, with French assay and workshop marks; circa 1930.

US\$ 30,000-50,000



### DIAMOND BRACELET, TIFFANY & CO.

The central element of scrolled abstract design, set with baguette and round diamonds, on a band of eight rows of similarly cut diamonds, length 7 inches, signed Tiffany & Co., numbered 29896852.

US\$ 50,000-70,000

62

#### PROPERTY OF A LADY

## PAIR OF DIAMOND EARCLIPS, CARTIER

Centering marquise-shaped diamonds, surrounded by ribbons of radiating design set with round diamonds, accented with baguette diamonds, signed Cartier.

US\$ 40,000-60,000



### PROPERTY OF A LADY

### FANCY VIVID YELLOW DIAMOND AND DIAMOND RING

Set with an emerald-cut Fancy Vivid Yellow diamond weighing 5.66 carats, flanked by two triangle-shaped diamonds, *size* 6½.

Accompanied by GIA report no. 6192799745 dated October 30, 2018 stating that the diamond is Fancy Vivid Yellow, Natural Color, VVS1 clarity.

US\$ 125.000-175.000

64

### PROPERTY OF A LADY

#### SAPPHIRE AND DIAMOND RING

The cushion-cut sapphire weighing 11.94 carts, set between two pear-shaped diamonds, accented with four round diamonds, size 51/4, with sizing band.

Accompanied by AGL report no. 1097909 dated January 8, 2019 stating that the sapphire is of Burmese origin, with no indications of heating.

US\$ 100,000-150,000

65

### PROPERTY OF A LADY

#### DIAMOND NECKLACE

Of fringe design, suspending 15 pear-shaped diamonds, set with baguette diamonds, further embellished with round diamonds, *length* 15½ inches

Accompanied by GIA report no. 2191992939 dated January 21, 2019 stating that the diamond weighing 3.70 carats is E color, VVS2 clarity.

US\$ 80,000-120,000





#### PROPERTY OF A GENTLEMAN

### FANCY LIGHT YELLOW DIAMOND AND DIAMOND RING

Centering a round-cornered rectangular mixedcut Fancy Light Yellow diamond weighing 19.95 carats, framed by round near colorless diamonds, the shoulders further accented with round diamonds, size 7.

Accompanied by GIA report no. 5191894423 dated December 4, 2018 stating that the diamond is Fancy Light Yellow, Natural Color, VS1 clarity.

US\$ 150,000-250,000

67

### DIAMOND AND CITRINE NECKLACE

Supporting a pendant featuring an emerald-cut citrine weighing 12.45 carats, framed by round diamonds and surmounted by an emerald-cut diamond, suspended from a chain of round and marquise-shaped diamonds, length 163/4 inches, with Italian registry mark.

US\$ 25,000-35,000





### FANCY DEEP YELLOW DIAMOND AND DIAMOND RING

Set with a round Fancy Deep Yellow diamond weighing 3.47 carats, accented by round diamonds, size 7, with Italian registry marks.

Accompanied by GIA report no. 2268493324 dated August 7, 2017 stating that the diamond is Fancy Deep Yellow, Natural Color, VS2 clarity, with Excellent Polish and Symmetry.

US\$ 100,000-150,000

69

### FANCY COLORED DIAMOND AND DIAMOND RING

Set with a cushion-cut Fancy Intense Bluish Green diamond weighing 0.40 carat, a cutcornered rectangular modified brilliant-cut Fancy Vivid Purplish Pink diamond weighing 0.61 carat and a diamond of yellow hue weighing 0.42 carat, bordered by three rows of round diamonds, size 6. Accompanied by two GIA reports:

No. 2185772903 dated October 11, 2017 stating that the diamond weighing 0.40 carat is Fancy Intense Bluish Green, Natural Color, I1 clarity.

No. 1182469387 dated June 15, 2017 stating that the diamond weighing 0.61 carat is Fancy Vivid Purplish Pink, Natural Color.

Please note that the diamond of yellow hue has not been tested for natural origin of color.

US\$ 60,000-80,000





### PROPERTY OF A LADY, NEW YORK, NY DIAMOND RING, GRAFF

Set with a square emerald-cut diamond weighing 5.21 carats, flanked by two tapered baguette diamonds, size 6.

Accompanied by GIA report no. 12721578 dated June 15, 2018 stating that the diamond is D color, Internally Flawless.

US\$ 200,000-300,000

71

### EMERALD AND DIAMOND RING, BULGARI

Set with an emerald-cut emerald weighing 4.34 carats, flanked by two cushion-cut diamonds weighing 2.14 and 2.08 carats, size 6, signed Bulgari.

Accompanied by AGL report no. 1097296 dated November 27, 2018 stating that the emerald is of Classic Colombian origin, with no clarity enhancement.

Accompanied by two GIA reports:

No. 1122674643 dated January 21, 2011 stating that the diamond weighing 2.14 carats is F color, VS1 clarity.

No. 2125718553 dated January 21, 2011 stating that the diamond weighing 2.08 carats is F color, VS1 clarity.

US\$ 300,000-400,000





### SAPPHIRE AND DIAMOND RING, CHAUMET, PARIS

Set with an octagonal step-cut buff-top sapphire weighing 15.87 carats, flanked by two baguette diamonds, size 41/4, signed Chaumet, Paris, with French assay and maker's marks.

Accompanied by SSEF report no. 103220 dated October 10, 2018 stating that the sapphire is of Ceylon origin, with no indications of heating.

US\$ 80,000-120,000

73

PROPERTY OF A PRIVATE COLLECTOR, SCOTTSDALE, ARIZONA

### DIAMOND BRACELET

Composed of triangle-shaped diamond clusters on a pavé-set band of old European and singlecut diamonds, embellished with open metalwork, length 6½ inches; circa 1930s.

US\$ 25,000-35,000

**74** 

PROPERTY FROM AN IMPORTANT PRIVATE COLLECTION

### PAIR OF DIAMOND PENDANT-EARRINGS

Suspending two round diamonds weighing approximately 7.90 and 7.30 carats, surmounted by round diamonds weighing approximately 1.10 and 1.05 carats.







#### PROPERTY OF A GENTLEMAN

### DIAMOND RING

Centering a marquise-shaped diamond weighing 7.54 carats, flanked by two pearshaped diamonds, size 51/4.

Accompanied by GIA report no. 2191885257 dated December 5, 2018 stating that the diamond is D color, VVS1 clarity. Together with the original working diagram stating that the diamond may be potentially Internally Flawless. Also with a letter from the GIA stating that the diamond has been determined to be Type IIa.

US\$ 250,000-350,000

76

### SAPPHIRE AND DIAMOND 'TROMBINO' RING, BULGARI

Set with an octagonal step-cut sapphire weighing 16.98 carats, shouldered by baguette diamonds, further highlighted by round diamonds, size 5<sup>3</sup>/<sub>4</sub>, signed Bulgari.

Accompanied by SSEF report no. 86738 dated July 4, 2016 stating that the sapphire is of Burmese origin, with no indications of heating.

US\$ 180,000-220,000

77

### DIAMOND JABOT PIN, CARTIER, FRANCE

Of geometric design, the terminals set with two pear-shaped portrait-cut diamonds, accented by rose-cut diamonds, signed Cartier, numbered 72411, with workshop mark; circa 1920. With signed box.

US\$ 20,000-30,000



PROPERTY OF A COLLECTOR, JACKSON, WYOMING

### DIAMOND, EMERALD, SAPPHIRE AND AMETHYST BROOCH

Of floral design with an articulated stem, set throughout with old European and single-cut diamonds, accented by calibré-cut emeralds, sapphires and amethysts, one emerald and two amethysts missing, with Austrian hallmarks; circa 1925.

US\$ 15,000-20,000

79

PROPERTY OF A COLLECTOR, JACKSON, WYOMING

### SAPPHIRE AND DIAMOND BRACELET

Of geometric openwork design, channel-set with square-cut sapphires, highlighted with round diamonds, *length 6¾ inches*.





### RUBY AND DIAMOND RING

approximately 6.50 carats, flanked by pearshaped diamonds, within a decorative mounting embellished with round diamonds, size 7.

Accompanied by AGL report no. 1090182 dated February 19, 2018 stating that the ruby is of Burmese origin, with no indications of heating.

US\$ 150,000-200,000

### 81

80

### UNMOUNTED NATURAL PEARL

Comprising a gray button-shaped natural pearl measuring approximately 17.7 x 15.3 mm, weighing 135.04 grains.

Accompanied by a photocopy of GIA report no. 16941904 dated June 2, 2014 stating that the pearl is natural, saltwater.

US\$ 30,000-50,000

### 82

### PROPERTY FROM A PRIVATE COLLECTION

DIAMOND NECKLACE

Of garland design, composed of old European, old mine and rose-cut diamonds, length 141/2 inches; late 19th century.

US\$ 50,000-70,000



Mrs. Ida May Swift Minotto



PROPERTY FROM THE ESTATE OF IDAMAY SWIFT MINOTTO, THENCE BY DESCENT

### DIAMOND RING

The twin-stone ring set with a pair of old European-cut diamonds weighing 4.78 and 4.56 carats, the decorative gallery embellished with single-cut diamonds, *size 734*; *circa 1925*.

Accompanied by two GIA reports:

No. 5192991057 dated January 16, 2019 stating that the diamond weighing 4.78 carats is J color, VS2 clarity.

No. 5191991051 dated January 16, 2019 stating that the diamond weighing 4.56 carats is J color, VS1 clarity.

### **PROVENANCE**

Ida May Swift, daughter of Louis F. Swift of the famed Swift Meat Packing Company, was born in 1861 in Lake Forest, Illinois. Miss Swift made her debut into Chicago society, often being called the most beautiful woman in the United States in the pages of the Chicago Tribune. In 1916 she married Count James Minotto from Venice, Italy. They lived in New York City for a time and were there for the birth of their son Demetrius in 1917. Daughter Ida May was born in Lake Forest, Illinois in 1920. In 1925, because of their love of the West, the family purchased a ranch in a remote area of Yavapai County, Arizona. Many celebrities such as Will Rogers, Clark Gable and Betty Davis visited the famous Z Triangle Ranch, home to cowboys, rodeos and evenings filled with music and dancing. Ida May Swift Minotto died in 1943 in Phoenix, Arizona. Her granddaughters still live on ranches in Arizona today.

US\$ 60,000-80,000

84

PROPERTY FROM THE ESTATE OF IDAMAY SWIFT MINOTTO, THENCE BY DESCENT

### DIAMOND BRACELET, DREICER & CO.

The line bracelet set with 30 old European-cut diamonds, *length 73*% inches, signed Dreicer & Co.

US\$ 20,000-30,000

85

### NATURAL PEARL AND DIAMOND PENDANT-NECKLACE

Suspending a drop-shaped natural pearl measuring 13.3 x 12.6 mm, capped by rose-cut diamonds, further accented with single and rose-cut diamonds, *length* 11¾ inches.

Accompanied by GIA report no. 5192633464 dated September 14, 2018 stating that the pearl is natural, saltwater.

US\$ 20,000-30,000



PROPERTY OF A DISTINGUISHED NEW YORK PRIVATE COLLECTOR

### GOLD, PEARL, RUBY AND ENAMEL PENDANT, CARLO GIULIANO

Of Renaissance revival-style, the openwork lozenge-shaped plaque decorated with a geometric pattern of blue, black and white enamel, adorned with pearls measuring approximately 5.2 to 3.0 mm and cabochon rubies, the reverse similarly applied with blue, white and pink enamel, signed C.G.; circa 1874-1895. With original gilt-embossed leather case signed C. Giuliano.

Please note that the pearls have not been tested for natural origin.

#### PROVENANCE

Sotheby's New York, December 6, 2006, Castellani & Giuliano, The Judith H. Siegel Collection, lot 88.

US\$ 12,000-15,000







### PERIDOT AND ENAMEL RING, RENÉ **LALIQUE**

The lozenge-shaped frosted peridot depicting carved bleeding hearts, the mounting desiged as stylized cranes, applied with green enamel, size 23/4, signed Lalique; circa 1900.

US\$ 25,000-35,000

88

PROPERTY OF A DISTINGUISHED NEW YORK PRIVATE COLLECTOR

### PERIDOT, PEARL AND ENAMEL PENDANT, PHILLIPS BROS.

Centered on a rectangular step-cut peridot within an openwork border applied with black and white piqué enamel, accented by four button-shaped pearls measuring approximately 4.8 to 4.5 mm, and supporting a drop-shaped pearl measuring approximately 5.6 mm, with maker's mark for Phillips Bros.; circa 1869-1874.

Please note that the pearls have not been tested for natural origin.

According to Geoffrey Munn, it is likely that this pendant was made by Giuliano to the order of Phillips and was sold by them in their shop on Cockspur Street between 1869 and 1874. The

work on this jewel is almost identical to that of a piece bearing Giuliano's maker's mark, offered as lot 105 in Castellani & Giuliano, The Judith H. Siegel Collection, Sotheby's New York, December 6, 2006.

### **PROVENANCE**

Sotheby's New York, December 6, 2006, Castellani & Giuliano, The Judith H. Siegel Collection, lot 120.

### **EXHIBITED**

Castellani and Giuliano, Revivalist Jewellers of the Nineteenth Century, Wartski, London, March 12-17, 1984, no. 106.

US\$ 8,000-12,000





PROPERTY OF A DISTINGUISHED NEW YORK PRIVATE COLLECTOR

### GOLD, ENAMEL AND GEM-SET PENDANT, CARLO GIULIANO

Of Renaissance revival-style, the lozenge-shaped openwork plaque decorated with square-cut emeralds and sapphires and cushion-cut rubies, further enhanced with seed pearls and an old mine-cut diamond in the center, within borders of white, blue, black and pink enamel, anchored by a pearl drop, the reverse decorated in a pastel floral pattern of pink, blue and white *champlevé* enamel, the center with a circular compartment for a souvenir, *signed C.G.; circa 1880.* With fitted box.

### PROVENANCE

Sotheby's New York, December 6, 2006, Castellani & Giuliano, The Judith H. Siegel Collection, lot 123.

### LITERATURE

Geoffrey C. Munn, Castellani and Giuliano, Revivalist Jewellers of the 19th Century, plates 167-168.





### HARDSTONE CAMEO BROOCH, NICOLA MORELLI

The agate cameo depicting a bearded man in profile, collet-set within a gold frame, cameo signed Morelli; early 19th century.

Nicola Morelli (1771-1838) was a renowned cameo portraitist and was frequently called on by Napoleon I and his family. In this cameo, three strata of the agate are utilized to render the Roman sitter, the uppermost layer illuminating the laurel crown, beard and cloak. Similar cameos by Morelli may be seen in the Metropolitan Museum in New York and the British Museum in London.

US\$ 10,000-15,000

### 91

PROPERTY OF A DISTINGUISHED NEW YORK PRIVATE COLLECTOR

### GOLD AND HARDSTONE INTAGLIO BRACELET, CARLO GIULIANO

Set with eight agate intaglios depicting classical figures, framed with gold and joined by cylindrical links, length 71/4 inches, signed C.G.; early 19th century.

#### LITERATURE

For a bracelet of similar design by Giuliano, see Castellani and Giuliano, Revivalist Jewellers of the 19th Century, by Geoffrey Munn, plate 59.

US\$ 7,000-9,000







PROPERTY OF A DISTINGUISHED NEW YORK PRIVATE COLLECTOR

### DIAMOND AND PEARL PENDANT, CARLO GIULIANO

Of Renaissance revival-style, the lozengeshaped panel set with alternating pearls and old mine-cut diamonds, suspending a drop-shaped pearl, signed C.G.; circa 1870. Fitted box by Wartski

Please note that the pearls have not been tested for natural origin.

The geometric design of this jewel, which appears strikingly modern, is actually based on an Elizabethan prototype; gemstones decorated in square panels can be found in portrait jewels of the time. A portrait of Elizabeth Brydges, maid-of-honor to Queen Elizabeth, shows the subject wearing a full parure of Renaissance jewelry including a brooch similar to the present one on her collar. See Ancestral Jewels by Diana Scarisbrick, pg. 13.

### **PROVENANCE**

Sotheby's New York, December 6, 2006, Castellani & Giuliano, The Judith H. Siegel Collection, lot 124.

US\$ 15,000-20,000

93

PROPERTY OF A DISTINGUISHED NEW YORK PRIVATE COLLECTOR

### GOLD, EMERALD, RUBY, DIAMOND AND ENAMEL PENDANT, CASTELLANI

Of Renaissance revival-style, centered on an emerald cameo depicting the Madonna, held within a clawed collet applied with black enamel, within an openwork frame of scroll design decorated with green and red enamel, alternately set with old mine-cut diamonds and cushion-cut rubies, the latter in high squared black enameled collets, unsigned; circa 1870. With fitted box.

The style of the clawed collet holding the emerald in the center of this brooch, as well as the squared collets of the rubies, all enameled in black, are identical to those found in Castellani's repertoire, illustrated in color by Geoffrey Munn, Castellani and Giuliano, Revivalist Jewellers of the 19th Century, pl. 181-183.

#### PROVENANCE

Sotheby's New York, December 6, 2006, Castellani & Giuliano, The Judith H. Siegel Collection, lot 95.

Sotheby's London, July 12, 1984, lot 176.

#### **EXHIBITED**

Castellani and Italian Archaeological Jewels, The Bard Graduate Center, New York November 2004 - February 2005, p. 368, no. 142. Also exhibited at Somerset House, London, May - September 2005 and Villa Giulia, Rome, November 2005 - February 2006.





### GOLD AND INTAGLIO NECKLACE AND **BRACELET**

Of Archaeological revival-style, the necklace set with 15 intaglios, the bracelet set with 11 intaglios, composed of various gemstones including emerald, amethyst, carnelian, sardonyx, quartz and lapis lazuli, engraved with images of various Greek and Roman gods, one engraved 'Hermes', mounted with intricate gold work, necklace gross weight approximately 29 dwts, bracelet approximately 56 dwts, lengths 15½ and 7½ inches; late 19th century to early 20th century. Bracelet with signed Giacinto Melillo box.

Archaeological discoveries during the 19th century at sites such as Pompeii and Herculaneum inspired imitations of gold ornaments from antiquity. The Roman jewelers Castellani sought to achieve the techniques of gold granulation and metalwork developed by the ancient Etruscans. The Castellani shop in Rome became the destination for Grand Tourists seeking mementos of the past. Giacinto Melillo (1845-1915) joined Alessandro Castellani at his workshop in Naples as a teenager with his apprenticeship only partially completed. By 1870 he was managing the workshop on his own and continued to make jewels in the

"Archaeological style." similar to those in lots 94 and 95, often using ancient hardstone intaglios. He was awarded the Grand Prix and Legion d'Honneur at the Paris Exhibition in 1900. Usually unsigned, the jewelry of Giacinto Melillo is identified by signed wooden cases as offered here with the present lot. For an informative discussion see "Giacinto Melillo, A Pupil of the Castellani" by Geoffrey Munn, The Connoisseur, Sept. 20, 1977, pages 20-22.

US\$ 40,000-60,000





### PROPERTY OF A PROMINENT AMERICAN FAMILY

### GOLD BRACELET

Of Archaeological revival-style, composed of nine gold plaques embellished to the front and reverse with granulation and filigree, gross weight approximately 50 dwts, *length 8 inches; circa 1870.* With fitted case signed Ls. Casalta, Naples.

### EXHIBITED

A similar bracelet by Melillo may be seen in the Hull Grundy Collection in the British Museum, London. See *The Art of the Jeweller: A Catalogue of the Hull Grundy Gift to the British Museum*, by Charlotte Gere et al., plate 45.





### COLORED GARNET NECKLACE

Of fringe design, suspending drop-shaped boulder opals from a tiered necklace of decorative gold wirework, accented with alternating round orange and purple garnets, further highlighted by demantoid garnets, length 15 inches; early 20th century.

US\$ 6,000-8,000



### GOLD, GREEN BERYL AND SEED PEARL NECKLACE, CASTELLANI

Designed as a fringe of gold batons supporting cushion-cut green beryls set within square motifs, alternating with seed pearls, suspended from a ropework chain, *length* 16¾ inches, with maker's mark; circa late 19th century. With fitted box.

Please note that the pearls have not been tested for natural origin.

US\$ 20,000-30,000



PROPERTY FROM A NOBLE COLLECTION

## AN EXCEPTIONALLY RARE ENAMEL AND DIAMOND DEMI-PARURE, TIFFANY & CO.

Of Renaissance revival-style, comprising a sautoir and pair of earrings set with rose-cut diamonds framed with old European and single-cut diamonds, the links and mountings lavishly embellished with sculpted figures including nymphs, naiads, gargoyles, jardinières and shield shapes, with two Knights Templar, tied together with fleurs-de-lys, floral garlands and scrolls, applied with shaded polychrome enamel, length 33 inches, earrings signed Tiffany & Co., necklace unsigned, pendant detachable, necklace separates into 3 segments, earrings fitted with screw backings; circa 1904 with later modifications. With fitted and signed case.

### **PROVENANCE**

This demi-parure originates from a pendantnecklace created by Paulding Farnham and exhibited by Tiffany & Co. at the 1904 Louisiana Purchase Exposition, in St. Louis. The original chain remains intact, paired with a modified pendant. The original larger pendant could be divided into smaller pendants and a pair of earrings. The current pendant is assembled from the original central diamond and one of the pear-shaped rose-cut drops. Also made from the original pendant was a seed pearl and diamond sautoir. The seed pearl sautoir was made by Tiffany & Co. in 1915, using three of the rose-cut diamonds from the St. Louis pendant. Purportedly created for silent film star Norma Talmadge (see page 147 of Loring's book Paulding Farnham), it was sold in 1992 by Sotheby's New York as lot 151.

#### LITERATURE

This necklace appears in its original state on page 146 of John Loring's Paulding Farnham: Tiffany's Lost Genius. A detail of the original necklace along with a photograph of the current modified version appears on page 167 of John Loring's Tiffany Jewels.

For additional information on the present lot, see The Rediscovery of Paulding Farnham, Tiffany's Designer Extraordinaire, Part I: Jewelry by Janet Zapata, Antiques 139, No. 3 (1991), pages 566-567.

US\$ 200,000-300,000



# PROPERTY FROM A NOBLE COLLECTION

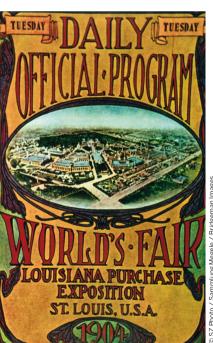
Paulding Farnham (1859-1927) was the creative genius responsible for the original design of this necklace, which was the only jewel by him that Tiffany & Co. displayed at the 1904 St. Louis World's Fair, an exhibition to celebrate the centennial of the 1803 Louisiana Purchase. Just like the 1893 Columbia World's Exhibition in Chicago, and the 1901 Pan American exhibition in Buffalo, the St. Louis World's Fair was a combination of trade show, civic pride and cultural achievement. As the Missouri State archives describes: "The Fair showcased the grandiose ambition of the Gilded Age, forming a kind of collective tribute to the nineteenth century's international understanding of the furtherance of peace, prosperity and progress." Tiffany & Co. had participated in all of these international exhibitions and as chief designer of jewelry for the firm, Paulding Farnham contributed greatly to the international notoriety, distinction and success of the company. The Paris Exposition Universelle of 1889 became another milestone for Tiffany & Co., when Farnham presented for the first time his fabulous gold and enamel orchid jewels, the likes of which the world had never seen.

"The Rediscovery of Paulding Farnham, Tiffany's Designer Extraordinaire," was an important two-volume article by Janet Zapata in 1991 published in the magazine Antiques, followed by John Loring's book in 2000, Paulding Farnham, Tiffany's Lost Genius. Both tell the tale of a man who was extremely talented and who rose to great heights during his tenure at the firm for more than twenty years, spanning both the late

19th and early 20th centuries. At the St. Louis World's Fair, Farnham's other contributions to Tiffany & Co.'s display included his sculptures of Psyche, the White Rock sparkling water trademark, and the dog "Nipper" for the Victor Talking Machine Co.'s life size version of its world famous "His Masters Voice Trademark," but the Renaissance revival necklace offered here was however the only jewel exhibited by him. It is one of his last achievements to be celebrated by Tiffany & Co at that time. Zapata adds these footnoted accounts in 1904 about the necklace: "The chain and pendant took 3,600 hours to make and included 43 large and 980 small diamonds." She added that one reviewer called it "a jewel that might have been worn by the Queens of Charles V, Francois I, or Henry VIII." Of course now modeled into its newest version, the necklace takes on the streamlined look of a sautoir from the Art Deco period of the 1920s, but is no less grand.

At first glance the necklace appears to be decorated with a synthesis of motifs borrowed from Renaissance art and architecture. Stylized jardinières, nymphs and naiads, gargoyles, shield shapes, palmettes, acanthus leaves, scrolls and arabesques, are all gracefully combined into a harmonious flowing pattern decorated with colorful enamel. However, upon closer inspection towards the back of the necklace near the clasp, Farnham has specifically included two figures of the Knights Templar, which would likely be historical references to the French King Louis IX who lead the Seventh Crusade (1248-1254 A.D.) and after whom the city of St. Louis is named.

The fleur-de-lys is another recurrent motif throughout the design of the necklace and also quarters each of the platinum settings surrounding the rosecut diamond-set links. It is of course the symbol associated with the city of St. Louis which appears on the flag of the city to this day, and it is surely why Farnham intentionally chose it for this jewel. He continued using this motif in his silver Renaissance flatware designs patented in 1905. Drawing upon the rich heritage of historical revival jewels which became so popular in the second half of the 19th century, Farnham's en ronde bosse enamel figures conjure up images of works by famous European jewelers such as Falize and Giuliano, whose creations may have been a source of inspiration for Farnham.



Programme for the World's Fair in St. Louis, 1904





Yet by 1904, the trends in jewelry design were moving in another direction, and Tiffany now had at its helm a new director, Louis Comfort Tiffany, who had his own ideas for jewelry designs. As Zapata points out "one can only speculate that he and Louis Comfort Tiffany clashed on artistic matters and that after he lost the support of his uncle Charles Cook, Tiffany's president until his death in 1907, he felt his position at the company was no longer tenable." And so it happened that Paulding Farnham, the self-described "painter, draughtsman, sculptor, expert mechanic,

sailorman, traveler, writer," would eventually drift off into utter obscurity. Even in their titles, the aforementioned article and book allude to a loss which Tiffany & Co. would experience after Farnham's departure in 1908. As John Loring so aptly summarized: "In the twenty-two and a half years he spent with Tiffany & Co., Farnham won more honors at home and abroad than any other designer of his time and brought Tiffany & Co. and the United States international respect as the undisputed leader in jewelry design in the last decade of the nineteenth century."

Works by Paulding Farnham rarely appear on the market, but some important pieces have made their way into private collections and museums including the Metropolitan Museum of Art and the Cooper-Hewitt in New York, The Brooklyn Museum, The Walters Art Gallery in Baltimore and the Museum of Fine Arts, Boston. Today, the offer of this jewel on the world stage presents a rare opportunity and once again illuminates the life and work of an artist not to be forgotten or overlooked.





SOLD TO BENEFIT THE MENDOCINO ART CENTER. CA

### GOLD, PLIQUE-À-JOUR ENAMEL, COLORED DIAMOND AND DIAMOND 'MATILIJA POPPY' CLIP-BROOCH, THOMAS HERMAN OF SEVENFINGERS AND PATSY CROFT

Designed as a Matilija poppy (Romneya coulteri), composed of opaque white and translucent green plique-à-jour enamel, accented with a bud and a small diamond accented spider, the center designed with the stamen highlighted by diamonds of near colorless and yellow hue, signed Patsy Croft, with maker's mark for Thomas Herman of Sevenfingers. With fitted and signed box.

Please note that the diamonds of yellow hue have not been tested for natural origin of color.

The origin of the name "Matilija" can be traced back to the Chumash Indian Chief and his tribe who inhabited the area of present-day Ventura county in Southern California during the 19th century. The legend of Chief Matilija's daughter Amatil and her lost love is retold in various versions from the history of this period. After falling in love with a young brave, Amatil is then captured by Spaniards and taken to work at Mission Buenaventura, but longs to return to Ojai (from the Chumash for "nest"). She manages to escape, but sadly finds that her lover has been mortally wounded during a battle with the Spaniards. It is from this legend, that the "Matilija" poppy became the symbol of the tears of Amatil and her heart of pure gold.

The Chumash like other native California tribes, fought against the domination of the Spanish and the formation of mission culture, so this legend may well be rooted in historical fact. In 1824 near Mission Buenaventura, there is record of Chief Matilija having waged a major battle against the Spanish. In present day Ojai and Ventura the name of Chief Matilija is echoed in many streets, businesses and other manmade landmarks as well as some of the creeks and canyons in the region.

For further information on Mendocino Art Center and the genesis of the Matilija Poppy Project, please refer to the condition report.











## PAIR OF GOLD, RUBY AND DIAMOND EARCLIPS, DAVID WEBB

Designed as serpent heads, the scales composed of textured gold, the eyes set with cabochon rubies, accented by round diamonds, gross weight approximately 27 dwts, signed Webb.

US\$ 15,000-20,000

#### 102

## GOLD, RUBY AND DIAMOND BANGLE-BRACELET, DAVID WEBB

The sprung bangle designed as two snake heads, each topped with a ruby cabochon, the eyes similarly-set with ruby cabochons, accented by round diamonds, detailed throughout with textured gold scales, gross weight approximately 87 dwts, internal circumference 51/4 inches, expandable, signed David Webb.

US\$ 35,000-55,000



#### PROPERTY OF AN INTERNATIONAL COLLECTOR

#### GOLD AND DIAMOND NÉCESSAIRE, CARTIER, FRANCE

The rectangular gold case measuring approximately 65/8 x 3 x 5/8 inches, embellished with a fan design accented with round diamond florets, the thumbpiece set with round diamonds, opening to reveal a mirror, a lipstick holder, and four additional compartments, signed Cartier, numbered OCC 1525, with French assay and maker's marks. With signed box.

US\$ 12,000-15,000

#### 104

#### EMERALD AND DIAMOND RING, TIFFANY & CO.

Set with a round emerald weighing 2.24 carats, flanked by two old European-cut diamonds, size 41/2, signed Tiffany. With signed box.

Accompanied by AGL report no. 1094984 dated November 20, 2018 stating that the emerald is of Colombian origin, clarity enhancement: none.

US\$ 50,000-70,000



US\$ 25,000-35,000



#### PROPERTY OF A LADY

#### DIAMOND RING

Set with a pear-shaped diamond weighing 10.82 carats, highlighted by two tapered baguette diamonds, *size 73*4.

Accompanied by GIA report no. 6194941589 dated December 21, 2018 stating that the diamond is L color, VS2 clarity.

US\$ 80,000-120,000

#### 107

#### PROPERTY FROM A PRIVATE COLLECTION

## GOLD AND DIAMOND NECKLACE, DAVID WEBB

The textured gold coral-inspired links featuring graduated round and pear-shaped diamonds, gross weight approximately 94 dwts, *length 14 inches*, *signed David Webb*; *circa 1970s*.

US\$ 30,000-50,000





### PROPERTY FROM A PROMINENT COLLECTION, NEW YORK

### EMERALD AND COLORED DIAMOND RING

Centering an emerald-cut emerald weighing 12.64 carats, in a bombé mounting reverse-set with rose-cut diamonds of yellow hue, size 71/4, numbered 1502.

Accompanied by AGL report no. CS81984 dated June 8, 2012 stating that the emerald is of Colombian origin, clarity enhancement: faint, type: modern.

Please note that the diamonds of yellow hue have not been tested for natural origin of color.

US\$ 150,000-200,000

#### 109

#### PAIR OF DIAMOND EARRINGS

Of graduated design, suspending emeraldcut diamonds weighing 6.01 and 5.86 carats, surmounted by additional emerald-cut diamonds weighing from 3.02 to 0.72 carats, numbered 127038.

Accompanied by six GIA reports:

No. 2171265517 dated August 3, 2015 stating that the diamond weighing 6.01 carats is I color, SI1 clarity.

No. 6173231594 dated September 2, 2015 stating that the diamond weighing 5.86 carats is H color, SI2 clarity.

No. 2227773174 dated March 16, 2017 stating that the diamond weighing 3.02 carats is I color, SI2 clarity.

No. 6173869016 dated September 20, 2016 stating that the diamond weighing 2.35 carats is H color, SI1 clarity.

No. 1248643519 dated January 16, 2017 stating that the diamond weighing 1.01 carats is H color, VS2 clarity.

No. 2248403988 dated December 8, 2016 stating that the diamond weighing 1.01 carats is G color, VS2 clarity.

Additionally accompanied by photocopies of two GIA Diamond Dossiers:

No. 7241638929 dated January 11, 2017 stating that the diamond weighing 0.75 carat is H color, VS2 clarity.

No. 5171477394 dated February 4, 2016 stating that the diamond weighing 0.72 carat is H color, VS1 clarity.

US\$ 200,000-300,000



#### PAIR OF DIAMOND STUDS

Set with two square emerald-cut diamonds weighing 4.03 and 4.01 carats.

Accompanied by two GIA reports:

No. 1182728749 dated September 12, 2017 stating that the diamond weighing 4.03 carats is G color, VS2 clarity, with Excellent Polish and Symmetry.

No. 2185968929 dated December 26, 2017 stating that the diamond weighing 4.01 carats is H color, VS1 clarity.

US\$ 100,000-120,000

111

## FANCY VIVID YELLOW DIAMOND AND DIAMOND BRACELET

Composed of alternating oval-shaped diamonds and Fancy Vivid Yellow diamonds, *length 7 inches*.

Accompanied by 10 GIA reports and Dossiers dated from March 25, 2016 to May 1, 2018 stating that the near colorless diamonds weighing from 2.19 carats to 0.71 carat are from D to F color, VS1 to VS2 clarity.

Accompanied by 10 GIA reports dated from June 24, 2013 to November 8, 2018 stating that the yellow diamonds weighing from 2.46 to 1.01 carats are Fancy Vivid Yellow, Natural Color, Internally Flawless to VS2 clarity.

US\$ 320,000-380,000

112

#### PROPERTY FROM A PRIVATE COLLECTION

#### DIAMOND RING, GRAFF

Set with a cushion-cut diamond weighing 5.72 carats, flanked by two pear-shaped diamonds, size 514, signed Graff.

Accompanied by GIA report no. 2191834552 dated November 14, 2018 stating that the diamond is D color, VVS1 clarity. Together with the original working diagram stating that the diamond may be potentially Internally Flawless. Also with a letter from the GIA stating that the diamond has been determined to be Type IIa.

US\$ 200,000-300,000





# TWO GOLD AND DIAMOND 'TRINITY' BANGLE-BRACELETS, CARTIER, FRANCE

Each composed of three interlocking bangles set with round diamonds, total gross weight approximately 70 dwts, internal circumference 7¼ and 6¾ inches, one signed Cartier Paris, the other signed Cartier, numbered 249042 5.9 and 652401 respectively, each with French assay and workshop marks.

114

#### GOLD AND EMERALD RING, BULGARI

Set with a cushion-cut emerald weighing 10.12 carats, within a matte gold mounting, size 9, signed Bulgari.

Accompanied by AGL report no. CS 64654 dated December 3, 2014 stating that the emerald is of Colombian origin, clarity enhancement: insignificant, type: traditional.

US\$ 160,000-180,000

US\$ 40,000-60,000



## GOLD AND DIAMOND CLIP-BROOCH, VAN CLEEF & ARPELS, FRANCE

Designed as a butterfly, centered on a marquise-shaped diamond, the wings pavé-set with round diamonds, bordered by baguette and tapered baguette diamonds, signed Van Cleef Arpels, numbered M38504, with French assay and workshop marks.

US\$ 20,000-30,000

116

## GOLD AND DIAMOND NECKLACE, VAN CLEEF & ARPELS, FRANCE

Composed of 38 interlocking gold hoops, set with numerous round diamonds, gross weight 78 dwts, length 16¾ inches, signed Van Cleef & Arpels, numbered BL35028, with French assay mark and maker's marks.

US\$ 100,000-150,000

117

# PAIR OF GOLD AND DIAMOND 'SNOWFLAKE' EARCLIPS, VAN CLEEF & ARPELS

Composed of clusters of round diamonds, within frames further set with round diamonds, signed Van Cleef & Arpels, numbered 858H-17. Fitted with posts.

US\$ 40,000-60,000





118

## CITRINE AND GARNET BROOCH, RENÉ BOIVIN, FRANCE

Designed as a flower, the petals set with ovalshaped citrines, the leaf accented by round green garnets, *unsigned*, *with French assay and workshop marks*; *circa* 1960s.

Accompanied by a Certificate of Authenticity from Françoise Cailles.

US\$ 15,000-20,000

119

#### PAIR OF CITRINE AND GARNET EARCLIPS, RENÉ BOIVIN, FRANCE

Designed as flowerheads, the petals set with oval-shaped citrines, the leaves highlighted by round garnets, unsigned, with French assay and workshop marks; circa 1960s.

Accompanied by a Certificate of Authenticity from Françoise Cailles.

US\$ 15,000-20,000





#### FANCY VIVID YELLOW DIAMOND AND DIAMOND RING

Set with a cut-cornered square modified brilliant-cut diamond weighing 3.06 carats, highlighted with two half-moon-shaped diamonds, size 6½.

Accompanied by GIA report no. 1206001327 dated January 28, 2019 stating that the diamond is Fancy Vivid Yellow, Natural Color, VS2 clarity.

US\$ 55,000-65,000

#### 122

#### DIAMOND BRACELET, CARTIER, PARIS

Composed of a line of pear-shaped diamonds, length 7 inches, signed Cartier Paris, numbered 161197, with French assay and workshop marks.

US\$ 30,000-50,000



FANCY BROWN-YELLOW AND FANCY YELLOWISH-BROWN DIAMOND RING, WILLIAM GOLDBERG

Of toi et moi design, set with an emeraldcut Fancy Brown-Yellow diamond weighing 5.22 carats and a square emerald-cut Fancy Yellowish Brown diamond weighing 4.09 carats, further enhanced by round diamonds, size 5½, signed William Goldberg, numbered R6159.

Accompanied by two GIA reports:

No. 5192122645 dated February 23, 2018 stating that the diamond weighing 5.22 carats is Fancy Brown-Yellow, Natural Color, VS2 clarity.

No. 2191013268 dated January 16, 2018 stating that the diamond weighing 4.09 carats is Fancy Yellowish Brown, Natural Color, VVS1 clarity.

#### 124

PROPERTY OF A LADY, TEXAS

#### FANCY DARK YELLOWISH BROWN DIAMOND, COLORED DIAMOND AND DIAMOND PENDANT-NECKLACE

The detachable pendant centering a Fancy Dark Yellowish Brown modified shield mixed-cut diamond weighing 10.50 carats, surmounted by an oval-shaped diamond of yellow hue, the pendant framed by and suspended from a row of round diamonds of various hues, *length* 16¾ inches.

Accompanied by GIA report no. 2205072952 dated February 19, 2019 stating that the diamond is Fancy Dark Yellowish Brown, Natural Color, VS2 clarity.

Please note that the remaining diamonds of various hues have not been tested for natural origin of color.

US\$ 30,000-50,000

123





## THREE GOLD AND DIAMOND BANGLE-BRACELETS

Comprising three bangle-bracelets, one of yellow gold, one of pink gold and one of white gold, each pavé-set with five rows of round diamonds, total gross weight approximately 51 dwts, *internal circumference 7 inches*.

US\$ 30,000-40,000

126

PROPERTY FROM A PROMINENT COLLECTION, NEW YORK

#### FANCY DEEP GRAYISH GREENISH YELLOW DIAMOND, DIAMOND AND DEMANTOID GARNET RING, TAFFIN

Centering a Fancy Deep Grayish Greenish Yellow emerald-cut diamond weighing 1.35 carats, within a surround pavé-set with single-cut diamonds and round demantoid garnets, size 6½, signed Taffin, numbered TF2111. With signed box.

Accompanied by GIA report no. 15318029 dated November 14, 2006 stating that the diamond is Fancy Deep Grayish Greenish Yellow, Natural Color.

US\$ 25,000-35,000



#### PAIR OF DIAMOND EARRINGS

Centering a row of round diamonds, set between two rows of smaller pavé-set round diamonds.

US\$ 16,000-18,000

#### 128

PROPERTY OF A LADY, PLEASANTON, CA

#### GOLD AND DIAMOND PENDANT-NECKLACE, GIANMARIA BUCCELLATI

The pendant centering a round diamond weighing 3.23 carats, within an openwork design, highlighted throughout with smaller round diamonds, on a white gold chain, length 16 inches, pendant detachable for wear as a brooch, signed Gianmaria Buccellati Italy,

numbered Z2578, with Italian registry mark. With signed box.

Accompanied by GIA report no. 2201017789 dated January 29, 2019 stating that the diamond is G color, VVS1 clarity. Together with the original working diagram stating that the diamond may be potentially Internally Flawless.

US\$ 40,000-60,000





## FANCY BROWNISH REDDISH ORANGE DIAMOND RING

Set with a round Fancy Brownish Reddish Orange diamond weighing 2.21 carats, size 5¾. Accompanied by GIA report no. 12537060 dated January 21, 2019 stating that the diamond is Fancy Brownish Reddish Orange, Natural Color, II clarity.

US\$ 100,000-150,000

#### 130

## FANCY INTENSE GREEN DIAMOND AND DIAMOND RING

Set with a cut-cornered rectangular modified brilliant-cut Fancy Intense Green diamond weighing 1.76 carats, flanked by two shield-shaped diamonds, *size 7*.

Accompanied by GIA report no. 2195092466 dated April 23, 2018 stating that the diamond is Fancy Intense Green, Natural Color, SI2 clarity.

US\$ 120,000-180,000









126

#### GOLD, DIAMOND AND ENAMEL BRACELET, SCHLUMBERGER FOR TIFFANY & CO., FRANCE

The white enameled links spaced by fluted gold accents, decorated throughout with bezel-set round diamonds, gross weight approximately 81 dwts, internal circumference 65/8 inches, signed Tiffany & Co. Schlumberger France, with French assay marks. With signed box.

US\$ 30,000-50,000

#### 132

#### COLOR CHANGE SAPPHIRE AND DIAMOND RING

Centering a cushion-cut color change sapphire weighing 12.40 carats, framed by two rows of round diamonds, the shoulders accented by square-cut diamonds, size 61/4.

Accompanied by AGL report no. 8086973 dated September 27, 2017 stating that the sapphire is of Ceylon origin, with no indications of heating.

Accompanied by SSEF report no. 92995 dated June 26, 2017 stating that the sapphire is of Ceylon origin, with no indications of heating.

US\$ 40,000-60,000



# TURQUOISE, AMETHYST AND DIAMOND 'DÉLICES DE GOA' SUITE OF JEWELS, CARTIER, FRANCE

Comprising a ring, a pair of earclips and a necklace, featuring clusters of turquoise beads measuring approximately 9.0 to 4.0 mm and amethyst beads measuring approximately 10.1 to 4.1 mm, accented by round diamonds, ring size 6½, necklace length 14½ inches, each signed Cartier, ring numbered 37106A, earclips numbered 32486A, necklace numbered 32348A, each with French assay and workshop marks.

US\$ 30,000-50,000





## AMETHYST, CORAL, TURQUOISE AND DIAMOND BRACELET, CARTIER, PARIS

The torsade composed of 11 strands of coral beads measuring approximately 6.8 to 4.0 mm, completed by a clasp centering a round amethyst, framed by turquoise cabochons and accented by round diamonds, length 7¾ inches, signed Cartier Paris, numbered 012002, with French assay and workshop marks; circa 1960s.

Potential bidders who intend to export this lot are advised that certain permits are required for export. If you are interested in this lot, please contact the Jewelry Department before bidding.

• US\$ 40,000-60,000

#### 135

### CORAL AND GEM-SET CLIP, CARTIER, PARIS

Designed as a figure holding an umbrella and wearing a hat, composed of coral, lapis lazuli, amazonite and chalcedony beads, signed Cartier, Paris, with French assay marks.

Potential bidders who intend to export this lot are advised that certain permits are required for export. If you are interested in this lot, please contact the Jewelry Department before bidding.

US\$ 6.000-8.000





PROPERTY FROM A NEW YORK COLLECTION

# CULTURED PEARL AND DIAMOND NECKLACE-BRACELET COMBINATION, VAN CLEEF & ARPELS

Set with 10 cultured pearls measuring approximately 10.0 mm, on an openwork band set with round diamonds framed by textured gold, length 15 inches, signed Van Cleef & Arpels, V.C.A France, numbered NY44182 and NY48830, separates into three segments for wear as a pair of bracelets, length 71/s inch each, with a 5/s inch extender.

US\$ 30,000-50,000





PROPERTY FROM A PRIVATE COLLECTION, NEW YORK, NY

#### EMERALD AND DIAMOND RING

Set with an emerald-cut emerald weighing 8.05 carats, shouldered by four baguette diamonds, size 5.

Accompanied by AGL report no. 1098672 dated February 8, 2019 stating that the emerald is of Colombian origin, clarity enhancement: insignificant; type: traditional.

US\$ 200,000-300,000

138

## FANCY INTENSE YELLOW DIAMOND AND DIAMOND RING

Set with an emerald-cut Fancy Intense Yellow diamond weighing 8.88 carats, flanked by two half-moon-shaped diamonds, size 53/4.

Accompanied by GIA report no. 5191638967 dated September 5, 2018 stating that the diamond is Fancy Intense Yellow, Natural Color, VS2 clarity.

US\$ 150,000-175,000



132

#### AN IMPORTANT FANCY INTENSE BLUE DIAMOND AND DIAMOND RING

Set with a cut-cornered square modified brilliant-cut Fancy Intense Blue diamond weighing 3.24 carats, flanked by two pearshaped diamonds weighing 0.58 and 0.54 carats, further embellished with round diamonds, size 61/4.

Accompanied by three GIA reports:

No. 2193852912 dated January 8, 2019 stating that the diamond is Fancy Intense Blue, Natural Color, VVS1 clarity. Together with a letter from the GIA stating that the diamond has been determined to be Type IIb.

No. 2181193331 dated March 1, 2017 stating that the diamond weighing 0.58 carat is D color, SI2 clarity.

No. 5181193335 dated February 27, 2017 stating that the diamond weighing 0.54 carat is E color, VS1 clarity.

US\$ 2,500,000-3,000,000



PROPERTY FROM A MID-ATLANTIC COLLECTION

## A RARE AND IMPORTANT DIAMOND, CORAL, EMERALD AND SEED PEARL BROOCH, CARTIER

Of pendeloque design, set with numerous old European and single-cut diamonds, the top composed of carved coral, accented by cabochon emeralds, further set with two old European-cut diamonds weighing 3.81 and 3.51 carats, suspending a carved emerald bead surmounted by a diamond-set cap, further highlighted by coral segments, calibré-cut emeralds and seed pearls, signed Cartier; circa 1925.

No. 6187896078 dated December 4, 2017 stating that the diamond weighing 3.81 carats is E color, VVS2 clarity.

No. 2183901037 dated November 29, 2017 stating that the diamond weighing 3.51 carats is F color, VS2 clarity.

Potential bidders who intend to export this lot are advised that certain permits are required for export. If you are interested in this lot, please contact the Jewelry Department before bidding.

Of all the works produced by Cartier since its founding in 1847, it is their dazzling creations from the Art Deco period that epitomize the firm's unparalleled innovation, style, and craftsmanship. Instantly recognizable and timeless in design, Cartier's Art Deco jewels represent one of the great highpoints in the history of jewelry.

Cartier's emergence into Art Deco's bold, new aesthetic may be attributed in large part to the artistic vision of Charles Jacqueau (1885-1968), a pioneering designer who revitalized the staid, Victorian themes of the previous century with vivid colors and stylized foliate motifs drawn from Indo-Persian art and architecture. Throughout the 1920s and 30s, his Easterninspired jewels were all the rage in Paris, London, and New York. Cartier's renowned tutti frutti design from this period is the crowning example of synthesizing Mughal aesthetics and Western workmanship: cuff bracelets and collar necklaces were set with Indian-style carved rubies, sapphires, and emeralds to create a brilliantly colored carpet of fantastic gems.

This pendeloque is another illustration of the firm's marriage of Eastern and Western influences. A rare discovery, the piece is a notable contribution to the published canon of Cartier jewels. Its lithe, columnar form—originally intended to drape off the shoulder—was inspired by the Indian turah, a jeweled turban pin that dangled like a tassel over the eyebrow. According to historian Hans Nadelhoffer, Cartier discovered the turah form indirectly through the fanciful orientalist costumes of the Ballet Russes, transforming theatrical fantasy into some of the most popular decorative motifs of the period. In a nod to

contemporary fashion, this piece's attenuated silhouette and highly articulated diamond-set panels echo the increased mobility of drop-waist flapper dresses designed to swing along to the exuberant tones of the Charleston. Further, the graphic geometry of the piece as a whole is strongly architectural: the triangular coral and diamond projections are evocative of the winged roofs of far Eastern pagodas, simultaneously prefiguring New York's iconic Chrysler Building. Returning consistently to its Indian inspiration, the large and beautifully carved emerald bead at the bottom recalls the onion-domed finials of the Tai Mahal.

As much as the piece emphasizes dynamic construction, it draws balance from allusions to the natural world. While Art Deco designs frequently matched sapphires and emeralds, imitating the "peacock" color pairing of Indian jewelry, this pendeloque uses vibrant coral and verdant emeralds to create a warm color palette suggesting the exotic flora and fauna of farflung locales. The piece terminates in a delicate cluster of pearl and coral beads, pointing to the "cluster of grapes" motif used to represent pleasure in Persian and Mughal miniature paintings. Thus, this jewel derives its sense of sumptuous luxury from the perfect harmony between composition and color, construction and organicism.

What distinguishes the piece as quintessentially Cartier is its exceptional fabrication. Hardly any of the incredibly supple platinum skeleton is visible to the viewer, and wherever possible the structure itself is rendered decorative, as is demonstrated by the coral stirrup and loop at either end of the piece. Even the tiniest details are of the highest quality, such as the pair of dainty emeralds framing the large, vibrantly colored central cabochon. The old Europeancut diamonds, each exceeding three carats, are exceptionally limpid and clean, presenting an excellently matched pair. From the details to the raw materials, this pendeloque is a striking and rare example of Cartier's highly coveted Art Deco creations.

US\$ 250,000-350,000









IMPORTANT JEWELS FROM THE PROPERTY OF A DISTINGUISHED LADY

## EMERALD AND DIAMOND RING, HARRY WINSTON

Set with a cushion-cut emerald weighing 15.20 carats, between shield-shaped diamond shoulders, size 5¾, with maker's mark for Harry Winston, fitted with sizing beads. With signed box.

Accompanied by AGL report no. 1096695 dated October 25, 2018 stating that the emerald is of Colombian origin, clarity enhancement: insignificant to minor, type: modern.

US\$ 450,000-650,000

#### 142

IMPORTANT JEWELS FROM THE PROPERTY OF A DISTINGUISHED LADY

## PAIR OF EMERALD AND DIAMOND PENDANT-EARCLIPS, HARRY WINSTON

Suspending two detachable pear-shaped emeralds weighing 12.71 and 10.29 carats, accented by pear-shaped diamonds, surmounted by cluster tops further set with pear-shaped diamonds, with maker's mark for Harry Winston, numbered 85968. With signed box.

Accompanied by two AGL reports:

No. 1096693 dated October 25, 2018 stating that the emerald weighing 12.71 carats is of Colombian origin, clarity enhancement: minor, type: traditional.

No. 1096692 dated October 25, 2018 stating that the emerald weighing 10.29 carats is of Colombian origin, clarity enhancement: minor, type: traditional.

US\$ 600,000-800,000







IMPORTANT JEWELS FROM THE PROPERTY OF A DISTINGUISHED LADY

#### PINK SAPPHIRE AND DIAMOND RING

Set with a cushion-cut pink sapphire weighing 14.00 carats, framed and accented by round diamonds, size 51/2.

Accompanied by AGL report no. 1096694 dated October 25, 2018 stating that the sapphire is of Madagascar origin, with indications of low temperature heating.

US\$ 40,000-60,000

#### 144

IMPORTANT JEWELS FROM THE PROPERTY OF A DISTINGUISHED LADY

## PAIR OF DIAMOND 'SNOWFLAKE' EARCLIPS, VAN CLEEF & ARPELS

Composed of clusters of round diamonds, within openwork frames further set with round diamonds, signed Van Cleef & Arpels, numbered BL75038, with maker's mark.

US\$ 60,000-80,000











#### PROPERTY OF A NEW YORK LADY

#### DIAMOND RING

Centering a circular brilliant-cut diamond weighing 10.27 carats, flanked by two baguette diamonds, size 6½.

Accompanied by GIA report no. 2191806234 dated October 30, 2018 stating that the diamond is I color, VS2 clarity.

US\$ 170,000-190,000

#### 147

### RUBY AND DIAMOND RING, RAYMOND YARD

Set with an octagonal mixed-cut ruby weighing 3.37 carats, flanked by two window-shaped diamonds, further highlighted with baguette and round diamonds, size 5¾, signed Yard.

Accompanied by AGL report no. 1089546 dated January 25, 2018 stating that the ruby is of Classic Burmese origin, with no indications of heating.

In 1898 at the age of thirteen, Raymond Yard began his career in the jewelry industry as a doorboy at Marcus & Co. in New York. Having impressed his employers and customers, he rose through the ranks learning the skills of jewelry production and salesmanship. His big

break came in 1922 when John D. Rockefeller Jr. encouraged Yard to start his own firm. Yard rarely advertised, instead relying on word-of-mouth accolades from loyal families such at the Vanderbilts, duPonts and Woolworths and celebrities including Joan Crawford and Douglas Fairbanks. Yard's exceptional eye for quality and design elevated him to an icon of American Art Deco jewelry, and under the care of Bob Gibson, the son of Raymond Yard's successor, that exemplary reputation continues today.

US\$ 200,000-300,000

**148 NO LOT** 





PROPERTY FROM A PROMINENT AMERICAN

FAMILY

149

## COLORED STONE, DIAMOND AND ENAMEL BRACELET

The flexible openwork foliate band set with carved sapphires, rubies and emeralds, accented by round and triangle-shaped sapphire cabochons, further set with onyx beads, highlighted by old European and single-cut diamonds, applied with black enamel, length 6¾ inches; circa 1930, four small diamonds and one onyx bead missing.

Epitomizing the marriage of Eastern exoticism with Art Deco design, tutti frutti jewels by Cartier are held up as masterpieces of the jeweler's art. After first being exhibited at the 1925 Exposition Internationale des Arts Décoratifs et Industriels Modernes in Paris, the style rapidly gained popularity among the most fashionable women and discerning jewelry collectors of the day, including Mrs. W.K. Vanderbilt, Daisy Fellowes and Mrs. Cole Porter.

Though unsigned, the bracelet offered here is notable for its preponderance of telltale elements: carved colored stones of varying shades and qualities (in-keeping with the Moghul style); scrolling diamond vines highlighted by drill-set onyx beads; enameled saw tooth patterns applied at the terminals; and a foldover tab clasp. In style and size, it is strikingly similar to the tutti frutti bracelet from the Evelyn H.

Lauder Collection sold by Sotheby's New York in 2014 and featured in Hans Nadelhoffer's seminal book, Cartier: Jewelers Extraordinary. Unlike the Lauder bracelet, which had only emeralds and rubies, here we find the addition of sapphires, thereby endowing the piece with all the "fruits," or, quite literally, tutti frutti. In this regard, the bracelet more closely resembles two examples made for Mrs. Cole Porter between 1925 and 1929, also illustrated in Nadelhoffer's book. The association with Mrs. Porter continues, for this piece is known to have been in the collection of Linda Lee Colgate, the niece and namesake of Mrs. Porter, née Linda Lee. The bracelet, along with a complementary double-clip brooch (lot 150) descended through the family, first as a wedding gift and, more recently, as an inheritance.

Sotheby's has had the pleasure of offering several jewels from the collection of Mrs. Cole Porter, and we are honored to present this quintessential tutti frutti jewel from a member of her extended family.

#### LITERATURE

For bracelets of similar design, see Cartier: Jewelers Extraordinary (1984 edition), Hans Nadelhoffer, color plates 44 and 46.

US\$ 100,000-300,000

150

PROPERTY FROM A PROMINENT AMERICAN FAMILY

## COLORED STONE, DIAMOND AND ENAMEL DOUBLE-CLIP BROOCH

Set with two carved emeralds and carved rubies and sapphires, accented by two sugarloaf sapphire cabochons, old European and single-cut diamonds, further applied with black enamel; *circa* 1930.

US\$ 15,000-20,000





#### 151

#### DIAMOND RING

Set with a round diamond weighing 14.92 carats, the mounting embellished with round diamonds and diamonds of pink hue, *size* 6.

Accompanied by GIA report no. 1176487370 dated February 11, 2016 stating that the diamond is D color, VS1 clarity, with Excellent Cut, Polish and Symmetry.

Please note that the diamonds of pink hue have not been tested for natural origin of color.

US\$ 800,000-1,000,000







#### 152

# AN IMPORTANT DIAMOND RING, BETTERIDGE

Centering a round diamond weighing 30.40 carats, the mounting further decorated with round diamonds, size 6½, signed AEB.

Accompanied by GIA report no. 2173538023 dated March 21, 2016 stating that the diamond is H color, VS2 clarity, with Excellent Cut, Polish and Symmetry.

US\$ 900,000-1,100,000

#### 153

# AN EXCEPTIONAL PAIR OF DIAMOND EARCLIPS, BETTERIDGE

Set with two round diamonds each weighing 20.27 carats, highlighted by round diamonds. Accompanied by GIA reports No. 5171539563 dated March 21, 2016 and No. 1172539558 dated March 22, 2016 stating that the diamonds are F color, VS2 clarity, with Excellent Cut,

Polish and Symmetry.

US\$ 1,300,000-1,800,000

END OF SALE



# Sotheby's 25



#### **Upcoming Jewelry Auctions 2019**

#### 2 April

Magnificent Jewels and Jadeite Hong Kong

#### 17 April

Fine Jewels, New York

#### 17 April

Magnificent Jewels, New York

#### 30 April

Fine Jewels, Paris

#### 14 May

Magnificent Jewels and Noble Jewels Geneva

#### 21-30 May

Jewels Online

#### 5 June

Fine Jewels, London

#### 13-20 June

Jewels Online

#### 24 June-2 July

Jewels Online

#### 18-25 July

Jewels Online

**ENQUIRIES** GENEVA +41 22 908 4849 SOTHEBYS.COM/JEWELS

HONG KONG +852 2822 8112 NEW YORK +1 212 606 7392 LONDON +44 (0)20 7293 6409 **#SOTHEBYSJEWELS** 



# Sotheby's 55



# **Upcoming Watch Auctions 2019**

25 March-1 April Watches Online

3 April

Important Watches, Hong Kong

16 April

Watches, London

15 May

Important Watches, Geneva

31 May-13 June

Watches Online

4 June

Important Watches, New York

8-17 July

Watches Online

ENQUIRIES GENEVA +41 22 908 48 13 HONG KONG +852 2822 8117 NEW YORK +1 212 606 7184 LONDON +44 (0)20 7293 5793 SOTHEBYS.COM/WATCHES #SOTHEBYSWATCHES

Patek Philippe

Retailed by Asprey: Ref 2499 possibly unique and highly important, yellow gold perpetual calendar chronograph wristwatch with moon phases made in 1952
Estimate 2,000,000–4,000,000 CHF
Lot sold 3,915,000 CHF, Geneva November 2018



# Sotheby's ESE THE



#### IN BLOOM

A SELLING EXHIBITION OF FLORAL JEWELS

#### NEW YORK EXHIBITION FREE AND OPEN TO THE PUBLIC 3-24 MAY

1334 YORK AVENUE, NEW YORK, NY 10021 ENQUIRIES +212 606 7392 FRANK.EVERETT@SOTHEBYS.COM SOTHEBYS.COM/JEWELS #SOTHEBYSJEWELS



# Absentee/Telephone Bidding Form Sale Number N10051 | Sale Title MAGNIFICENT JEWELS | Sale Date 17 APRIL 2019 Please see the important information regarding absentee bidding on the reverse of this form. Forms should be completed in ink and emailed, mailed or faxed to the Bid Department at the details below. SOTHEBY'S ACCOUNT NUMBER (IF KNOWN) TITLE FIRST NAME LAST NAME COMPANY NAME ADDRESS POSTAL CODE COUNTRY

Please write clearly and place your bids as early as possible, as in the event of identical bids, the earliest bid received will take precedence. Bids should be submitted at least 24 hours before the auction.

☐ Email

☐ Post/Mail

EMAIL

Please indicate how you would like to receive your invoices:

Telephone number during the sale (Telephone bids only)

LOT NUMBER	LOT DESCRIPTION	MAXIMUM USD PRICE OR TICK ✓ FOR PHONE BID (EXCLUDING PREMIUM AND TAX)
		\$
		\$
		\$
		\$
		\$
		\$
		\$
		\$
		\$
		\$
		\$
		\$

We will send you a shipping quotation for this and future purchases unless you select one of the check boxes below. Please provide the name and address for shipment of your purchases, if different from above.

NAME AND ADDRESS			
	POSTAL CODE	COUNTRY	
☐ I will collect in person			
☐ I authorize you to release my purchased property to my a	gent/shipper (provide name)		
☐ Send me a shipping quotation for purchases in this sale or	nly		

I agree to be bound by Sotheby's "Conditions of Sale" and the information set out overleaf in the Guide for Absentee and Telephone Bidders, which is published in the catalogue for the sale. I consent to the use of this information and any other information obtained by Sotheby's in accordance with the Guide for Absentee and Telephone Bidders and Conditions of Sale.

SIGNATURE PRINT NAME DATE

BID DEPARTMENT 1334 YORK AVENUE, NEW YORK NY 10021  $\perp$  TEL +1 212 606 7414  $\perp$  FAX +1 212 606 7016  $\perp$  EMAIL BIDS.NEWYORK@SOTHEBYS.COM

#### Guide for Absentee and Telephone Bidders

If you are unable to attend an auction in person, you may give Sotheby's Bid Department instructions to bid on your behalf by completing the form overleaf. This service is confidential and available at no additional charge.

#### General

This service is free and confidential.

Please record accurately the lot numbers, descriptions and the top hammer price you are willing to pay for each lot.

We will try to purchase the lot(s) of your choice for the lowest price possible and never for more than the maximum bid amount you indicate.

"Buy" or unlimited bids will not be accepted.

Alternative bids can be placed by using the word "OR" between lot numbers. Then if your bid on an early lot is successful, we will not continue to bid on other lots for you. Or, if your early bids are unsuccessful, we will continue to execute bids for alternative lots until a bid is successful.

Bids must be placed in the same order as in the catalogue.

The form should be used for one sale only – please indicate the sale number, title and date on the form.

Please place your bids as early as possible, as in the event of identical bids the earliest received will take precedence. Wherever possible bids should be submitted at least twenty-four hours before the auction.

Where appropriate, your bids will be rounded down to the nearest amount consistent with the auctioneer's bidding increments.

Absentee bids, when placed by telephone, are accepted only at the caller's risk and must be confirmed by letter or fax to the Bid Department on +1 212 606 7016.

Please note that the execution of written bids is offered as an additional service for no extra charge at the bidder's risk and is undertaken subject to Sotheby's other commitments at the time of the auction; Sotheby's therefore cannot accept liability for error or failure to place such bids, whether through negligence or otherwise.

Successful bidders will receive an invoice detailing their purchases and giving instructions for payment and clearance of goods. Unsuccessful bidders will be advised.

Please note Sotheby's reserves the right to refuse to accept payment from a source other than the buyer of record.

All bids are subject to the conditions of sale and terms of guarantee applicable to the sale printed in the sale catalogue. Buyer's premium in the amount stated in paragraph 3 of the Conditions of Sale in the back of the sale catalogue will be added to the hammer price as part of the total purchase price, plus any applicable sales tax.

In the event that you are successful, payment is due immediately after the sale unless otherwise agreed in advance. Payment may be made by bank transfer, credit card (which may be subject to a convenience fee), check or cash (up to US\$10,000). You will be sent full details on how to pay with your invoice. It is against Sotheby's general policy to accept single or multiple related payments in the form of cash or cash equivalents in excess of US\$10,000.

It is Sotheby's policy to request any new clients or purchasers preferring to make a cash payment to provide: proof of identity (by providing some form of government issued identification containing a photograph, such as a passport, identity card or driver's license) and confirmation of permanent address.

We reserve the right to seek identification of the source of funds received.

#### **Data Protection**

Sotheby's will use information provided by its clients (or which Sotheby's otherwise obtains from eBay or other sources relating to its clients) for the provision of auction and other art-related services, loan services, client administration, marketing and otherwise to manage and operate its business, or as required by law, in accordance with Sotheby's Privacy Policy. This will include information such as the client's name and contact details, proof of identity, financial information, records of the client's transactions, and preferences. Some gathering of information about Sotheby's clients will take place using technical means to identify their preferences in order to provide a higher quality of service to them. Sotheby's may also disclose the client information to other Sotheby's Companies and/or third parties acting on their behalf to provide services for these purposes.

Sometimes, Sotheby's may also disclose this information to carefully selected third parties for their own marketing purposes. If you do not wish your details to be used for this purpose, please email enquiries@sothebys.com.

If the client provides Sotheby's with information that is defined by European data protection laws as "sensitive", the client agrees that it may be used for the purposes set out above.

In the course of these disclosures, personal data collected in the European Economic Area may be disclosed to countries outside the European Economic Area. Although such countries may not have legislation that protects a client's personal information, Sotheby's shall take great care to keep such information secure and in accordance with European data protection principles. By agreeing to these Conditions of Business, the client is agreeing to such disclosure.

Please be aware that Sotheby's may film auctions or other activities on Sotheby's premises and that such recordings may be transmitted over the Internet via Sotheby's website, the eBay website and other Online Platforms. Telephone bids may be recorded.

Under European data protection laws, a client may object, by request and free of charge, to the processing of their information for certain purposes, including direct marketing, and may access and rectify personal data relating to them and may obtain more information about Sotheby's data protection policies by writing to Sotheby's, 34-35 New Bond Street, London W1A 2AA, or 1334 York Avenue, New York, NY 10021, Attn: Compliance, or emailing enquiries@sothebys.com. Sotheby's use of information collected about eBay users may differ and is governed by the terms of the eBay Privacy Policy and Sotheby's on eBay Live Auction Platform Privacy Policy, which can be found on the Sotheby's on eBay Live Auction Website.

#### Important

Please note that the execution of written and telephone bids is offered as an additional service for no extra charge, and at the bidder's risk. It is undertaken subject to Sotheby's other commitments at the time of the auction. Sotheby's therefore cannot accept liability for failure to place such bids, whether through negligence or otherwise. All bids will be executed and are accepted subject to the "Conditions of Sale" and "Terms of Guarantee" printed in the catalogue for the sale. Please note that a buyer's premium in the amount stated in paragraph 3 of the "Conditions of Sale" in the back of the sale catalogue will be added to the hammer price as part of the total purchase price, plus any applicable sales tax.

#### **New Clients**

Please note that we may contact you to request a bank reference. In addition Sotheby's requires a copy of government issued photo ID in order to generate a new account. If you have opened a new account with Sotheby's since 1 December, 2002, and have not already done so, you will be asked to present appropriate documentation confirming your identity before your lots or sale proceeds can be released to you.

#### For Written/Fixed Bids

- Bids will be executed for the lowest price as is permitted by other bids or reserves.
- "Buy" or unlimited bids will not be accepted and we do not accept "plus one" bids. Please place bids in the same order as in the catalogue.
- Always indicate a "top limit" the amount up to which you would bid if you were attending the auction yourself.
- Alternative bids can be placed by using the word "or" between lot numbers
- Where appropriate your written bids will be rounded down to the nearest amount consistent with the auctioneer's bidding increments.

#### For Telephone Bids

Please clearly specify the telephone number on which you may be reached at the time of the sale, including the country code. We will call you from the saleroom shortly before your lot is offered.

#### CONDITIONS OF SALE

The following Conditions of Sale and Terms of Guarantee are Sotheby's, Inc. and the Consignor's entire agreement with the purchaser and any bidders relative to the property listed in this catalogue.

The Conditions of Sale, Terms of Guarantee, the glossary, if any, and all other contents of this catalogue are subject to amendment by us by the posting of notices or by oral announcements made during the sale. The property will be offered by us as agent for the Consignor, unless the catalogue indicates otherwise.

By participating in any sale, you acknowledge that you are bound by these terms and conditions.

- 1. As Is Goods auctioned are often of some age. The authenticity of the Authorship (as defined below) of property listed in the catalogue is guaranteed as stated in the Terms of Guarantee and except for the Limited Warranty contained therein, all property is sold "AS IS" without any representations or warranties by us or the Consignor as to merchantability, fitness for a particular purpose, the correctness of the catalogue or other description of the physical condition, size, quality, rarity, importance, medium, frame, provenance, exhibitions, literature or historical relevance of any property and no statement anywhere, whether oral or written, whether made in the catalogue, an advertisement, a bill of sale, a salesroom posting or announcement, or elsewhere, shall be deemed such a warranty, representation or assumption of liability. We and the Consignor make no representations and warranties, express or implied, as to whether the purchaser acquires any copyrights, including but not limited to, any reproduction rights in any property. We and the Consignor are not responsible for errors and omissions in the catalogue, glossary, or any supplemental material. Sotheby's will not be responsible or liable for damage to frames and glass coverings, regardless of the cause.
- 2. **Inspection** Prospective bidders should inspect the property before bidding to determine its condition, size, and whether or not it has been repaired or restored.
- 3. **Buyer's Premium** A buyer's premium will be added to the hammer price and is payable by the purchaser as part of the total purchase price. The buyer's premium is 25% of the hammer price up to and including \$400,000, 20% of any amount in excess of \$400,000 up to and including \$4,000,000, and 13.9% of any amount in excess of \$4,000,000.
- 4. **Withdrawal** We reserve the right to withdraw any property before the sale and shall have no liability whatsoever for such withdrawal.
- 5. **Per Lot** Unless otherwise announced by the auctioneer, all bids are per lot as numbered in the catalogue.
- 6. **Bidding** We reserve the right to reject any bid. The highest bidder acknowledged by the auctioneer will be the purchaser. The

auctioneer has absolute and sole discretion in the case of error or dispute with respect to bidding, and whether during or after the sale, to determine the successful bidder, to re-open the bidding, to cancel the sale or to re-offer and re-sell the item in dispute. If any dispute arises after the sale, our sale record is conclusive. In our discretion we will execute order or absentee bids and accept telephone bids and online bids via BIDnow, eBay, Invaluable or other online platforms as a convenience to clients who are not present at auctions; Sotheby's is not responsible for any errors or omissions in connection therewith. Prospective bidders should also consult sothebys.com for the most up to date cataloguing of the property in this catalogue.

By participating in the sale, you represent and warrant that any bids placed by you, or on your behalf, are not the product of any collusive or other anti-competitive agreement and are otherwise consistent with federal and state antitrust law.

In order to bid on "Premium Lots" you must complete the required Premium Lot pre-registration application. Sotheby's decision whether to accept any pre-registration application shall be final. You must arrange for Sotheby's to receive your pre-registration application at least three working days before the sale. Please bear in mind that we are unable to obtain financial references over weekends or public holidays.

Sotheby's may require such necessary financial references, guarantees, deposits and/or such other security, in its absolute discretion, as security for your bid(s).

- 7. Online Bids via BIDnow or other Online Platforms: Sotheby's may offer clients the opportunity to bid online via BIDnow, eBay, Invaluable or other Online Platforms for selected sales. By participating in a sale via any of these Online Platforms, you acknowledge that you are bound by these Conditions of Sale as well as the Additional Terms and Conditions for Live Online Bidding ("Online Terms"). By participating in a sale via any Online Platform, Bidders accept the Online Terms, as well as the relevant Conditions of Sale. Online bidding may not be available for Premium Lots.
- 8. Bids Below Reserve If the auctioneer determines that any opening bid is below the reserve of the article offered, he may reject the same and withdraw the article from sale, and if, having acknowledged an opening bid, he determines that any advance thereafter is insufficient, he may reject the advance.
- 9. Purchaser's Responsibility

Subject to fulfillment of all of the conditions set forth herein, on the fall of the auctioneer's hammer, the contract between the consignor and the purchaser is concluded, and the winning bidder thereupon will immediately pay the full purchase price or such part as we may require. Title in a purchased lot will not pass until Sotheby's has received the full purchase price in cleared funds. The purchaser's obligation to immediately pay the full purchase price or such part as we may require is absolute and unconditional and is not subject to any defenses, setoffs or counterclaims of any kind whatsoever.

Sotheby's is not obligated to release a lot to the purchaser until title to the lot has passed and any earlier release does not affect the passing of title or the purchaser's unconditional obligation to pay the full purchase price. In addition to other remedies available to us by law, we reserve the right to impose from the date of sale a late charge of the annual percentage rate of Prime + 6% of the total purchase price if payment is not made in accordance with the conditions set forth herein. Please note Sotheby's reserves the right to refuse to accept payment from a source other than the buyer of record. Unless otherwise agreed by Sotheby's,

all property must be removed from our premises by the purchaser at his expense not later than 30 calendar days following its sale. Purchasers are reminded that Sotheby's liability for loss of or damage to sold property shall cease upon the earlier of (a) 30 calendar days after the date of the auction and (b) our release of the property to the purchaser or the purchaser's designated agent. Upon the expiration of such 30 calendar day period or upon such earlier release, as applicable: (i) the purchaser bears full liability for any and all loss of or damage to the property; (ii) the purchaser releases Sotheby's, its affiliates, agents and warehouses from any and all liability and claims for loss of or damage to the property; and (iii) the purchaser agrees to indemnify and hold Sotheby's, its affiliates, agents and warehouses harmless from and against any and all liability for loss of or damage to property and any all claims related to loss of or damage to the property as of and from and after the time Sotheby's liability for loss or damage to the property ceases in accordance with this paragraph. If any applicable conditions herein are not complied with by the purchaser, the purchaser will be in default and in addition to any and all other remedies available to us and the Consignor by law, including, without limitation, the right to hold the purchaser liable for the total purchase price, including all fees, charges and expenses more fully set forth herein, we, at our option, may (x) cancel the sale of that, or any other lot or lots sold to the defaulting purchaser at the same or any other auction, retaining as liquidated damages all payments made by the purchaser, or (y) resell the purchased property, whether at public auction or by private sale, or (z) effect any combination thereof. In any case, the purchaser will be liable for any deficiency, any and all costs, handling charges, late charges, expenses of both sales, our commissions on both sales at our regular rates, legal fees and expenses, collection fees and incidental damages. We may, in our sole discretion, apply any proceeds of sale then due or thereafter becoming due to the purchaser from us or any affiliated company, or any payment made by the purchaser to us or any affiliated company, whether or not intended to reduce the purchaser's obligations with respect to the unpaid lot or lots, to the deficiency and any other amounts due to us or any affiliated companies. In addition, a defaulting purchaser will be deemed to have granted and assigned to us and our affiliated companies, a continuing security interest of first priority in any property or

money of or owing to such purchaser in our possession, custody or control or in the possession, custody or control of any of our affiliated companies, in each case whether at the time of the auction, the default or if acquired at any time thereafter. and we may retain and apply such property or money as collateral security for the obligations due to us or to any affiliated company of ours. We shall have all of the rights accorded a secured party under the New York Uniform Commercial Code. You hereby agree that Sotheby's may file financing statements under the New York Uniform Commercial Code without your signature. Payment will not be deemed to have been made in full until we have collected good funds. Any claims relating to any purchase, including any claims under the Conditions of Sale or Terms of Guarantee, must be presented directly to Sotheby's. In the event the purchaser fails to pay any or all of the total purchase price for any lot and Sotheby's nonetheless elects to pay the Consignor any portion of the sale proceeds, the purchaser acknowledges that Sotheby's shall have all of the rights of the Consignor to pursue the purchaser for any amounts paid to the Consignor, whether at law, in equity, or under these Conditions of Sale.

- 10. Reserve All lots in this catalogue are offered subject to a reserve, which is the confidential minimum hammer price at which a lot will be sold. No reserve will exceed the low presale estimate stated in the catalogue, or as amended by oral or posted notices. We may implement such reserve by opening the bidding on behalf of the Consignor and may bid up to the amount of the reserve, by placing successive or consecutive bids for a lot. or bids in response to other bidders. In instances where we have an interest in the lot other than our commission, we may bid up to the reserve to protect such interest. In certain instances, the Consignor may pay us less than the standard commission rate where a lot is "bought-in" to protect
- 11. Tax Unless exempted by law, the purchaser will be required to pay the combined New York State and local sales tax, any applicable compensating use tax of another state, and if applicable, any federal luxury or other tax, on the total purchase price. The rate of such combined tax is 8.875% in New York City and ranges from 7% to 8.625% elsewhere in New York.
- 12. Export and Permits It is the purchaser's sole responsibility to identify and obtain any necessary export, import, firearm, endangered species or other permit for the lot. Any symbols or notices in the sale catalogue reflect Sotheby's reasonable opinion at the time of cataloguing and are for bidders' general guidance only; Sotheby's and the Consignor make no representations or warranties as to whether any lot is or is not subject to export or import restrictions or any embargoes.
- 13. **Governing Law and Jurisdiction** These Conditions of Sale and Terms of Guarantee, as well as bidders', the purchaser's and

our respective rights and obligations hereunder, shall be governed by and construed and enforced in accordance with the laws of the State of New York. By bidding at an auction, whether present in person or by agent, order bid, telephone, online or other means, all bidders including the purchaser, shall be deemed to have consented to the exclusive jurisdiction of the state courts of, and the federal courts sitting in, the State of New York. All parties agree, however, that Sotheby's shall retain the right to bring proceedings in a court other than the state and federal courts sitting in the State of New York.

- 14. Packing and Shipping We are not responsible for the acts or omissions in our packing or shipping of purchased lots or of other carriers or packers of purchased lots, whether or not recommended by us. Packing and handling of purchased lots is at the entire risk of the purchaser.
- 15. Limitation of Liability In no event will the aggregate liability of Sotheby's and the consignor to a purchaser exceed the purchase price actually paid.
- 16. Data Protection Sotheby's will use information provided by its clients (or which Sotheby's otherwise obtains from eBay, Invaluable or other sources relating to its clients) for the provision of auction and other art-related services, loan services, client administration, marketing and otherwise to manage and operate its business, or as required by law, in accordance with Sotheby's Privacy Policy. This will include information such as the client's name and contact details, proof of identity, financial information, records of the client's transactions, and preferences. Some gathering of information about Sotheby's clients will take place using technical means to identify their preferences in order to provide a higher quality of service to them. Sotheby's may also disclose the client information to other Sotheby's Companies and/or third parties acting on their behalf to provide services for these purposes.

Sometimes, Sotheby's may also disclose this information to carefully selected third parties for their own marketing purposes. If you do not wish your details to be used for this purpose, please email enquiries@sothebys.com.

If the client provides Sotheby's with information that is defined by European data protection laws as "sensitive", the client agrees that it may be used for the purposes set out above.

In the course of these disclosures, personal data collected in the European Economic Area may be disclosed to countries outside the European Economic Area. Although such countries may not have legislation that protects a client's personal information, Sotheby's shall take great care to keep such information secure and in accordance with European data protection principles. By agreeing to these Conditions of Sale, the client is agreeing to such disclosure.

Please be aware that Sotheby's may film auctions or other activities on Sotheby's premises and that such

recordings may be transmitted over the Internet via Sotheby's website, the eBay website, the Invaluable website and other Online Platforms. Telephone bids may be recorded.

Under European data protection laws, a client may object, by request and free of charge, to the processing of their information for certain purposes, including direct marketing, and may access and rectify personal data relating to them and may obtain more information about Sotheby's data protection policies by writing to Sotheby's, 34-35 New Bond Street, London W1A 2AA, or 1334 York Avenue, New York, NY 10021, Attn: Compliance, or emailing enquiries@ sothebys.com. Sotheby's use of information collected about eBay users may differ and is governed by the terms of the eBay Privacy Policy and Sotheby's on eBay Live Auction Platform Privacy Policy, which can be found on the Sotheby's on eBay Live Auction Website. Sotheby's use of information collected about Invaluable users may differ and is governed by the terms of the Invaluable Privacy Policy and Sotheby's on Invaluable Online Platform Privacy Policy, which can be found on the Sotheby's on Invaluable Live Auction

#### **TERMS OF GUARANTEE**

As set forth below and in the Conditions of Sale, for all lots Sotheby's guarantees that the authorship, period, culture or origin (collectively, "Authorship") of each lot in this catalogue is as set out in the BOLD or CAPITALIZED type heading in the catalogue description of the lot, as amended by oral or written salesroom notes or announcements. Purchasers should refer to the Glossary of Terms, if any, for an explanation of the terminology used in the Bold or Capitalized type heading and the extent of the Guarantee. Sotheby's makes no warranties whatsoever, whether express or implied, with respect to any material in the catalogue other than that appearing in the Bold or Capitalized heading and subject to the exclusions

In the event Sotheby's in its reasonable opinion deems that the conditions of the Guarantee have been satisfied, it shall refund to the original purchaser of record the hammer price and applicable Buyer's Premium paid for the lot by the original purchaser of record.

This Guarantee is provided for a period of five (5) years from the date of the relevant auction, is solely for the benefit of the original purchaser of record at the auction and may not be transferred to any third party. To be able to claim under this Guarantee of Authorship, the original purchaser of record must: (i) notify Sotheby's in writing within three (3) months of receiving any information that causes the original purchaser of record to question the accuracy of the Bold or Capitalized type heading, specifying the lot number, date of the auction at which it was purchased and the reasons for such question; and (ii) return the Lot to Sotheby's at the original

selling location in the same condition as at the date of sale to the original purchaser of record and be able to transfer good title to the Lot, free from any third party claims arising after the date of such sale.

Sotheby's has discretion to waive any of the above requirements. Sotheby's may require the original purchaser of record to obtain at the original purchaser of record's cost the reports of two independent and recognized experts in the field, mutually acceptable to Sotheby's and the original purchaser of record. Sotheby's shall not be bound by any reports produced by the original purchaser of record, and reserves the right to seek additional expert advice at its own expense. It is specifically understood and agreed that the rescission of a sale and the refund of the original purchase price paid (the successful hammer price, plus the buyer's premium) is exclusive and in lieu of any other remedy which might otherwise be available as a matter of law, or in equity. Sotheby's and the Consignor shall not be liable for any incidental or consequential damages incurred or claimed, including without limitation, loss of profits or interest.

# ADDITIONAL TERMS AND CONDITIONS FOR LIVE ONLINE BIDDING

The following terms and conditions (the "Online Terms") provide important information related to live online bidding via BlDnow, eBay, Invaluable, and any other Online Platform through which bidding is made available ("Online Platforms").

These Conditions are in addition to and subject to the same law and our standard terms and conditions of sale, including the authenticity guarantee and any other terms and are not intended in any way to replace them. By participating in this sale via any Online Platform, you acknowledge that you are bound by the Conditions of Sale applicable in the relevant sale and by these additional Conditions.

- 1. The procedure for placing bids via Online Platforms is a one-step process; as soon as the "Bid Now" button is clicked, a bid is submitted. By placing a bid via any Online Platform, you accept and agree that bids submitted in this way are final and that you will not under any circumstances be permitted to amend or retract your bid. If a successful bid is sent to Sotheby's from your computer, phone, tablet, or any other device, you irrevocably agree to pay the full purchase price, including buyer's premium and all applicable taxes and other applicable charges.
- 2. If you have the leading bid, it will be indicated on the screen with the statement "Bid with you" (on BIDNow) or "You're the highest bidder" (on eBay) or "Bid with you" (on Invaluable). If a bid is placed online simultaneously with a bid placed by a bidder in the room or on the telephone (a "floor" bid), the "floor" bid generally will take precedence; the auctioneer will have the final discretion to determine the successful bidder or to reopen bidding.

- 3. The next bidding increment is shown on the screen for your convenience. The auctioneer has discretion to vary bidding increments for bidders in the auction room and on the telephones, but bidders using Online Platforms may not be able to place a bid in an amount other than a whole bidding increment. All bidding for this sale will be in the domestic currency of the sale location, and online bidders will not be able to see the currency conversion board that may be displayed in the auction room.
- 4. The record of sale kept by Sotheby's will be taken as absolute and final in all disputes. In the event of a discrepancy between any online records or messages provided to you and the record of sale kept by Sotheby's, the record of sale will govern.
- 5. Online bidders are responsible for making themselves aware of all salesroom notices and announcements. All saleroom notices will be read by the auctioneer at the beginning, where appropriate, or during the sale prior to a relevant lot being offered for sale. Sotheby's recommends that online bidders log on at least ten minutes before the scheduled start of the auction to ensure that you have heard all announcements made by the auctioneer at the beginning of the sale.
- 6. Sotheby's reserves the right to refuse or revoke permission to bid via Online Platforms and to remove bidding privileges during a sale.
- 7. Purchase information shown in the "Account Activity" section of BIDnow, the "Purchase History" section of the "My eBay" page on eBay and the "Account Activity" section of the "My Invaluable" page on Invaluable is provided for your convenience only. Successful bidders will be notified and invoiced by Sotheby's after the sale. In the event of any discrepancy between any online purchase information and the invoice sent to you by Sotheby's following the respective sale, the invoice prevails. Terms and conditions for payment and collection of property remain the same regardless of how the winning bid was submitted.
- 8. Sotheby's offers online bidding as a convenience to our clients. Sotheby's will not be responsible for any errors or failures to execute bids placed via Online Platforms, including, without limitation, errors or failures caused by (i) a loss of connection to the internet or to the BIDnow, eBay, Invaluable or other Online Platform software by either Sotheby's or the client; (ii) a breakdown or problem with the BIDnow, eBay, Invaluable or other Online Platform software; or (iii) a breakdown or problem with a client's internet connection, mobile network or computer. Sotheby's is not responsible for any failure to execute an online bid or for any errors or omissions in connection therewith.
- 9. Live online bidding via all Online Platforms will be recorded.

- 10. In the event of any conflict between these Online Terms and Sotheby's Conditions of Sale and Terms of Guarantee, Sotheby's Conditions of Sale and Terms of Guarantee will control.
- 11. In the event of any conflict between these Online Terms and any term in any agreement between the User and eBay, these Online Terms will control for purposes of all Sotheby's auctions.
- 12. In the event of any conflict between these Online Terms and any term in any agreement between the User and Invaluable, these Online Terms will control for purposes of all Sotheby's auctions.

#### **BUYING AT AUCTION**

The following will help in understanding the auction buying process as well as some of the terms and symbols commonly used in an auction catalogue. All bidders should read the Conditions of Sale and Terms of Guarantee in this catalogue, as well as the Glossary or any other notices. By bidding at auction, bidders are bound by the Conditions of Sale and Terms of Guarantee, as amended by any oral announcement or posted notices, which together form the sale contract among Sotheby's, the seller (consignor) of the lot and any bidders, including the successful bidder (purchaser).

#### 1. SYMBOL KEY

#### ☐ Reserves

Unless indicated by a box (□), all lots in this catalogue are offered subject to a reserve. A reserve is the confidential minimum hammer price at which a lot will be sold. The reserve is generally set at a percentage of the low estimate and will not exceed the low estimate of the lot. If any lots in the catalogue are offered without reserve, such lots will be designated by a box (□). If every lot in a catalogue is offered without a reserve, the Conditions of Sale will so state and this symbol will not be used for each lot.

#### O Guaranteed Property

The seller of lots with this symbol has been guaranteed a minimum price from one auction or a series of auctions. This guarantee may be provided by Sotheby's or jointly by Sotheby's and a third party. Sotheby's and any third parties providing a guarantee jointly with Sotheby's benefit financially if a guaranteed lot is sold successfully and may incur a loss if the sale is not successful. If the Guaranteed Property symbol for a lot is not included in the printing of the auction catalogue, a pre-sale or pre-lot announcement will be made indicating that there is a guarantee on the lot

#### $\triangle$ Property in which Sotheby's has an Ownership Interest

Lots with this symbol indicate that Sotheby's owns the lot in whole or in part or has an economic interest in the lot equivalent to an ownership interest.

#### **∋** Irrevocable Bids

Lots with this symbol indicate that a party has provided Sotheby's with an irrevocable bid on the lot that will be executed during the sale at a value that ensures that the lot will sell. The irrevocable bidder, who may bid in excess of the irrevocable bid, may be compensated for providing the irrevocable bid by receiving a contingent fee, a fixed fee or both. If the irrevocable bidder is the successful bidder, any contingent fee, fixed fee or both (as applicable) for providing the irrevocable bid may be netted against the irrevocable bidder's obligation to pay the full purchase price for the lot and the purchase price reported for the lot shall be net of any such fees. From time to time, Sotheby's may enter into irrevocable bid agreements that cover multiple lots. In such instances, the compensation Sotheby's will pay the irrevocable bidder is allocated to the lots for which the irrevocable bidder is not the successful nurchaser Under such circumstances the total compensation to the irrevocable bidder will not exceed the total buyer's premium and other amounts paid to Sotheby's in respect of any lots for which the irrevocable bidder is not the successful bidder. If the irrevocable bid is not secured until after the printing of the auction catalogue, Sotheby's will notify bidders that there is an irrevocable bid on the lot by one or more of the following means: a pre-sale or pre-lot announcement, by written notice at the auction or by including an irrevocable bid symbol in the e-catalogue for the sale prior to the auction. From time to time, Sotheby's or any affiliated company may provide the irrevocable bidder with financing related to the irrevocable bid. If the irrevocable bidder is advising anyone with respect to the lot, Sotheby's requires the irrevocable bidder to disclose his or her financial interest in the lot. If an agent is advising you or bidding on your behalf with respect to a lot identified as being subject to an irrevocable bid, you should request that the agent disclose whether or not he or she has a financial interest in the lot.

#### 

Lots with this symbol indicate that parties with a direct or indirect interest in the lot may be bidding on the lot, including (i) the beneficiary of an estate selling the lot, or (ii) the joint owner of a lot. If the interested party is the successful bidder, they will be required to pay the full Buyer's Premium. In certain instances, interested parties may have knowledge of the reserve. In the event the interested party's possible participation in the sale is not known until after the printing of the auction catalogue, a pre-sale or pre-lot announcement will be made indicating that interested parties may be bidding on the lot.

#### Restricted Materials

Lots with this symbol have been identified at the time of cataloguing as containing organic material which may be subject to restrictions regarding import or export. The information is made available for the convenience of bidders and the absence of the symbol is not a warranty that there are no restrictions regarding import or export of the Lot: bidders should refer to Condition

12 of the Conditions of Sale. Please also refer to the section on Endangered Species in the information on Buying at Auction.

#### **∏** Monumental

Lots with this symbol may, in our opinion, require special handling or shipping services due to size or other physical considerations. Bidders are advised to inspect the lot and to contact Sotheby's prior to the sale to discuss any specific shipping requirements.

#### **♀** Premium Lot

In order to bid on "Premium Lots" (Qin print catalogue or ♦ in eCatalogue) you must complete the required Premium Lot pre-registration application, You must arrange for Sotheby's to receive your pre-registration application at least three working days before the sale. Please bear in mind that we are unable to obtain financial references over weekends or public holidays. Sotheby's decision whether to accept any pre-registration application shall be final. If your application is accepted, you will be provided with a special paddle number. If all lots in the catalogue are "Premium Lots", a Special Notice will be included to this effect and this symbol will not be used.

#### 2. BEFORE THE AUCTION

**The Catalogue** A catalogue prepared by Sotheby's is published for every scheduled live auction and is available prior to the sale date. The catalogue will help familiarize you with property being offered at the designated auction. Catalogues may be purchased at Sotheby's or by subscription in any categories. For information, please call +1 212 606 7000 or visit sothebys. com. Prospective bidders should also consult sothebys.com for the most up to date cataloguing of the property in this catalogue.

Estimates Each lot in the catalogue is given a low and high estimate, indicating to a prospective buyer a range in which the lot might sell at auction. When possible, the estimate is based on previous auction records of comparable pieces. The estimates are determined several months before a sale and are therefore subject to change upon further research of the property, or to reflect market conditions or currency fluctuations. Estimates should not be relied upon as a representation or prediction of actual selling prices.

Provenance In certain circumstances, Sotheby's may print in the catalogue the history of ownership of a work of art if such information contributes to scholarship or is otherwise well known and assists in distinguishing the work of art. However, the identity of the seller or previous owners may not be disclosed for a variety of reasons. For example, such information may be excluded to accommodate a seller's request for confidentiality or because the identity of prior owners is unknown given the age of the work of art.

Specialist Advice Prospective bidders may be interested in specific information not included in the catalogue description of a lot. For additional information, please contact either a Sotheby's specialist in charge of the sale (all of whom are listed in the front of the catalogue), or Sotheby's Client Services Department. You may also request a condition report from the specialist in charge.

**The Exhibition** An exhibition of the auction property will be held the week prior to the auction on the days listed in the front of the catalogue. There you will have the opportunity to view, inspect and evaluate the property yourself, or with the help of a Sotheby's specialist.

Salesroom Notices Salesroom notices amend the catalogue description of a lot after our catalogue has gone to press. They are posted in the viewing galleries and salesroom or are announced by the auctioneer. Please take note of them.

Registration Sotheby's may require such necessary financial references, guarantees, deposits and/or such other security, in its absolute discretion, as security for your bid. If you are not successful on any lot, Sotheby's will arrange for a refund (subject to any right of set off) of the deposit amount paid by you without interest within 14 working days of the date of the sale. Any exchange losses or fees associated with the refund shall be borne by you. Registration to bid on Premium Lots must be done at least 3 business days prior to the sale.

#### 3. DURING THE AUCTION

The Auction Auctions are open to the public without any admission fee or obligation to bid. The auctioneer introduces the objects for sale - known as "lots" - in numerical order as listed in the catalogue. Unless otherwise noted in the catalogue or by an announcement at the auction, Sotheby's acts as agent on behalf of the seller and does not permit the seller to bid on his or her own property. It is important for all bidders to know that the auctioneer may open the bidding on any lot by placing a bid on behalf of the seller. The auctioneer may further bid on behalf of the seller, up to the amount of the reserve, by placing responsive or consecutive bids for a lot. The auctioneer will not place consecutive bids on behalf of the seller above the reserve

Bidding in Person If you would like to bid, you must register for a paddle upon entering the salesroom. The paddle is numbered so as to identify you to the auctioneer. To register, you will need a form of identification such as a driver's license, a passport or some other type of government issued identification. If you are a first-time bidder, you will also be asked for your address, phone number and signature in order to create your account. If you are bidding for someone else, you will need to provide a letter from that person authorizing you to bid on that person's behalf. Issuance of a bid paddle is in Sotheby's sole discretion.

Once the first bid has been placed, the auctioneer asks for higher bids, in increments determined by the auctioneer. To place your bid, simply raise your paddle until the auctioneer acknowledges you. You will know when your bid has been acknowledged; the auctioneer will not mistake a random gesture for a bid.

If you wish to register to bid on a Premium Lot, please see the paragraph

All lots sold will be invoiced to the name and address in which the paddle has been registered and cannot be transferred to other names and addresses. Sotheby's reserves the right to refuse to accept payment from a source other than the buyer of record.

Absentee Bidding If it is not possible for you to attend the auction in person, you may place your bid ahead of time. In the back of every catalogue there is an absentee bid form, which you can use to indicate the item you wish to bid on and the maximum bid you are willing to make. Return the completed absentee bid form to Sotheby's either by mail or fax. When the lot that you are interested in comes. up for sale, a Sotheby's representative will execute the bid on your behalf, making every effort to purchase the item for as little as possible and never exceeding your limit. This service is free and confidential. For detailed instructions and information, please see the Absentee Bid Form and Guide for Absentee Bidders instructions at the back of this catalogue.

Telephone Bidding In some circumstances, we offer the ability to place bids by telephone live to a Sotheby's representative on the auction floor. Please contact the Bid Department prior to the sale to make arrangements or to answer any questions you may have. Telephone bids are accepted only at Sotheby's discretion and at the caller's risk. Calls may also be recorded at Sotheby's discretion. By bidding on the telephone, prospective buyers consent thereto.

Online Bidding If you cannot attend the auction, it may be possible to bid online via BIDnow, eBay, Invaluable or other Online Platforms for selected sales. This service is free and confidential. For information about registering to bid via BIDnow, please see www.sothebys.com. For information about registering to bid on eBay, please see www.ebay.com/sothebys. For information about registering to bid on Invaluable, please see www.invaluable.com/invaluable/help.cfm. Bidders utilizing any online platform are subject to the Online Terms as well as the relevant Conditions of Sale. Online bidding may not be available for Premium Lots.

**Employee Bidding** Sotheby's employees may bid in a Sotheby's auction only if the employee does not know the reserve and if the employee fully complies with Sotheby's internal rules governing employee bidding.

**US Economic Sanctions** The United States maintains economic and trade sanctions against targeted foreign countries, groups and organizations.

There may be restrictions on the import into the United States of certain items originating in sanctioned countries, including Cuba, Iran, North Korea and Sudan. The purchaser's inability to import any item into the US or any other country as a result of these or other restrictions shall not justify cancellation or rescission of the sale or any delay in payment. Please check with the specialist department if you are uncertain as to whether a lot is subject to these import restrictions, or any other restrictions on importation or exportation.

#### Hammer Price and the Buyer's

**Premium** For lots which are sold, the last price for a lot as announced by the auctioneer is the hammer price. A buyer's premium will be added to the hammer price and is payable by the purchaser as part of the total purchase price. The buyer's premium will be the amount stated in the Conditions of Sale.

Currency Board As a courtesy to bidders, a currency board is operated in many salesrooms. It displays the lot number and current bid in both U.S. dollars and foreign currencies. Exchange rates are approximations based on recent exchange rate information and should not be relied upon as a precise invoice amount. Sotheby's assumes no responsibility for any error or omission in foreign or United States currency amounts shown.

Results Successful absentee bidders will be notified after the sale. Absentee bidders will receive a list of sale results if they enclose a stamped self-addressed envelope with their absentee bid form. Printed lists of auction prices are available at our galleries approximately three weeks following each auction and are sent on request to catalogue purchasers and subscribers. Results may also be obtained online at sothebys.com.

International Auctions If you need assistance placing bids, obtaining condition reports or receiving auction results for a Sotheby's sale outside the United States, please contact our International Client Services Department.

#### 4. AFTER THE AUCTION

Payment If your bid is successful, you can go directly to Post Sale Services to make payment arrangements. Otherwise, your invoice will be mailed to you. The final price is determined by adding the buyer's premium to the hammer price on a per-lot basis. Sales tax, where applicable, will be charged on the entire amount. Payment is due in full immediately after the sale. However, under certain circumstances, Sotheby's may, in its sole discretion, offer bidders an extended payment plan. Such a payment plan may provide an economic benefit to the bidder. Credit terms should be requested at least one business day before the sale. However, there is no assurance that an extended payment plan will be offered. Please contact Post Sale Services or the specialist in charge of the sale for information on credit arrangements for a particular lot.

Please note that Sotheby's will not accept payments for purchased lots from any party other than the purchaser, unless otherwise agreed between the purchaser and Sotheby's prior to the sale.

**Payment by Cash** It is against Sotheby's general policy to accept payments in the form of cash or cash equivalents.

Payment by Credit Cards Sotheby's accepts payment by credit card for Visa, MasterCard, and American Express only. Credit card payments may not exceed \$50,000 per sale. Payment by credit card may be made (a) online at https://www.sothebys.com/en/invoice-payment.html, (b) by calling in to Post Sale Services at +1 212 606 7444, or (c) in person at Sotheby's premises at the address noted in the catalogue.

Payment by Check Sotheby's accepts
(a) personal checks and (b) certified,
banker's draft and cashier's checks drawn
in US Dollars with a face amount of over
\$10,000 (made payable to Sotheby's).
While personal and company checks are
accepted, property will not be released until
such checks have cleared, unless you have a
pre-arranged check acceptance agreement.
Application for check clearance can be
made through the Post Sale Services.

Please note that certified checks, banker's drafts and cashier's checks are accepted at Sotheby's discretion and provided they are issued by a reputable financial institution governed by anti-money laundering laws. Instruments not meeting these requirements will be treated as "cash equivalents" and subject to the constraints noted in the prior paragraph titled "Payment By Cash".

Payment by Wire Transfer To pay for a purchase by wire transfer, please refer to the payment instructions on the invoice provided by Sotheby's or contact Post Sale Services to request instructions.

Sales and Use Tax New York sales tax is charged on the hammer price, buyer's premium and any other applicable charges on any property picked up or delivered in New York State, regardless of the state or country in which the purchaser resides or does business. Purchasers who wish to use their own shipper who is not a considered a "common carrier" by the New York Department of Taxation and Finance will be charged New York sales tax on the entire charge regardless of the destination of the property. Please refer to "Information on Sales and Use Tax Related to Purchases at Auction" in the back of the catalogue.

#### **Collection and Delivery**

Post Sale Services +12126067444 FAX: +12126067043 uspostsaleservices@sothebys.com

Once your payment has been received and cleared, property may be released. Unless otherwise agreed by Sotheby's, all purchases must be removed by the 30th calendar day following a sale.

**Shipping Services** Sotheby's offers a comprehensive shipping service to meet all of your requirements. If you received a shipping quotation or have any questions about the services we offer please contact us.

**Collecting your Property** As a courtesy to purchasers who come to Sotheby's to collect property, Sotheby's will assist in the packing of lots, although Sotheby's may, in the case of fragile articles, choose not to pack or otherwise handle a purchase.

If you are using your own shipper to collect property from Sotheby's, please provide a letter of authorization and kindly instruct your shipper that they must provide a Bill of Lading prior to collection. Both documents must be sent to Post Sale Services prior to collection.

The Bill of Lading must include: the purchaser's full name, the full delivery address including the street name and number, city and state or city and country, the sale and lot number.

Sotheby's will contact your shipper within 24 hours of receipt of the Bill of Lading to confirm the date and time that your property can be collected. Property will not be released without this confirmation and your shipper must bring the same Bill of Lading that was faxed to Sotheby's when collecting. All property releases are subject to the receipt of cleared funds.

Please see the Conditions of Sale for further details.

Endangered Species Certain property sold at auction, for example, items made of or incorporating plant or animal materials such as coral, crocodile, ivory, whalebone, tortoiseshell, rhinoceros horn, rosewood, etc., irrespective of age or value, may require a license or certificate prior to exportation and additional licenses or certificates upon importation to another country. Sotheby's suggests that buyers check on their government wildlife import requirements prior to placing a bid. Please note that the ability to obtain an export license or certificate does not ensure the ability to obtain an import license or certificate in another country, and vice versa. It is the purchaser's responsibility to obtain any export or import licenses and/ or certificates as well as any other required documentation. In the case of denial of any export or import license or of delay in the obtaining of such licenses, the purchaser is still responsible for making on-time payment of the total purchase price for the lot.

Although licenses can be obtained to export some types of endangered species, other types may not be exported at all, and other types may not be resold in the United States. Upon request, Sotheby's is willing to assist the purchaser in attempting to obtain the appropriate licenses and/or certificates. However, there is no assurance that an export license or certificate can be obtained. Please check with the specialist department or the Shipping Department if you are uncertain as to whether a lot is

subject to these export/import license and certificate requirements, or any other restrictions on exportation.

The Art Loss Register As part of Sotheby's efforts to support only the legitimate art market and to combat the illegitimate market in stolen property. Sotheby's has retained the Art Loss Register to check all uniquely identifiable items offered for sale in this catalogue that are estimated at more than the equivalent of US\$1,500 against the Art Loss Register's computerized database of objects reported as stolen or lost. The Art Loss Register is pleased to provide purchasers with a certificate confirming that a search has been made. All inquiries regarding search certificates should be directed to The Art Loss Register, First Floor, 63-66 Hatten Garden, London EC1N 8LE or by email at artloss@artloss.com. The Art Loss Register does not guarantee the provenance or title of any catalogued item against which they search, and will not be liable for any direct or consequential losses of any nature howsoever arising. This statement and the ALR's service do not affect your rights and obligations under the Conditions of Sale applicable to the sale.

#### **SELLING AT AUCTION**

If you have property you wish to sell, Sotheby's team of specialists and client services representatives will assist you through the entire process. Simply contact the appropriate specialist (specialist departments are listed in the back of this catalogue), General Inquiries Department or a Sotheby's regional office representative for suggestions on how best to arrange for evaluation of your property.

**Property Evaluation** There are three general ways evaluation of property can be conducted:

#### (1) In our galleries

You may bring your property directly to our galleries where our specialists will give you auction estimates and advice. There is no charge for this service, but we request that you telephone ahead for an appointment. Inspection hours are 9:30 am to 5 pm, Monday through Friday.

#### (2) By photograph

If your property is not portable, or if you are not able to visit our galleries, you may bring in or send a clear photograph of each item. If you have a large collection, a representative selection of photographs will do. Please be sure to include the dimensions, artist's signature or maker's mark, medium, physical condition and any other relevant information. Our specialists will provide a free preliminary auction estimate subject to a final estimate upon first-hand inspection.

#### (3) In your home

Evaluations of property can also be made in your home. The fees for such visits are based on the scope and diversity of property, with travel expenses additional. These fees may be rebated if you consign

your property for sale at Sotheby's. If there is considerable property in question, we can arrange for an informal "walkthrough."

Once your property has been evaluated, Sotheby's representatives can then help you determine how to proceed should you wish to continue with the auction process. They will provide information regarding sellers' commission rates and other charges, auction venue, shipping and any further services you may require.

#### SOTHEBY'S SERVICES

Sotheby's also offers a range of other services to our clients beyond buying and selling at auction. These services are summarized below. Further information on any of the services described below can be found at sothebys.com.

Valuations and Appraisals Sotheby's Valuations and Appraisals Services offers advice regarding personal property assets to trusts, estates, and private clients in order to help fiduciaries, executors. advisors, and collectors meet their goals. We provide efficient and confidential advice and assistance for all appraisal and auction services. Sotheby's can prepare appraisals to suit a variety of needs, including estate tax and planning. insurance, charitable contribution and collateral loan. Our appraisals are widely accepted by the Internal Revenue Service. tax and estate planning professionals, and insurance firms. In the event that a sale is considered, we are pleased to provide auction estimates, sales proposals and marketing plans. When sales are underway, the group works closely with the appropriate specialist departments to ensure that clients' needs are met promptly and efficiently.

Financial Services Sotheby's offers a wide range of financial services including advances on consignments, as well as loans secured by art collections not intended for sale

Museum Services Tailored to meet the unique needs of museums and nonprofits in the marketplace, Museum Services offers personal, professional assistance and advice in areas including appraisals, deaccessions, acquisitions and special

Corporate Art Services Devoted to servicing corporations, Sotheby's Corporate Art Services Department can prepare appraisal reports, advise on acquisitions and deaccessions, manage all aspects of consignment, assist in developing arts-management strategies and create events catering to a corporation's needs.

#### INFORMATION ON SALES AND USE TAX RELATED TO PURCHASES AT AUCTION

To better assist our clients, we have prepared the following information on Sales and Use Tax related to property purchased at auction.

#### Why Sotheby's Collects Sales Tax

Virtually all State Sales Tax Laws require a corporation to register with the State's Tax Authorities and collect and remit sales tax if the corporation either establishes or maintains physical or economic presence within the state. In the states that impose sales tax, Tax Laws require an auction house, with such presence in the state, to register as a sales tax collector, and remit sales tax collected to the state. New York sales tax is charged on the hammer price. buyer's premium and any other applicable charges on any property picked up or delivered in New York, regardless of the state or country in which the purchaser resides or does business.

#### Where Sotheby's Collects Sales Tax

Sotheby's is currently registered to collect sales tax in the following states: Alabama, California, Colorado, Connecticut, District of Columbia, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, Nebraska, Nevada, New Jersey, New York, North Carolina, Ohio, Oklahoma, Pennsylvania, Rhode Island, South Carolina, Texas, Utah, Vermont, Washington, Wisconsin and Wyoming. For any property collected or received by the purchaser in New York City, such property is subject to sales tax at the existing New York State and City rate of 8.875%.

**Sotheby's Arranged Shipping** If the property is delivered into any state in which Sotheby's is registered, Sotheby's is required by law to collect and remit the appropriate sales tax in effect in the state where the property is delivered.

Client Arranged Shipping Property collected from Sotheby's New York premises by a common carrier hired by the purchaser for delivery at an address outside of New York is not subject to New York Sales Tax, but if the property is delivered into any state in which Sotheby's is registered, Sotheby's is required by law to collect and remit the appropriate sales tax in effect in the state where the property is delivered. New York State recognizes shippers such as the United States Postal Service, United Parcel Service, FedEx, or the like as "common carriers". If a purchaser hires a shipper other than a common carrier to pick up property, Sotheby's will collect New York sales tax at a rate of 8.875% regardless of the ultimate destination of the goods. If a purchaser utilizes a freight-forwarder who is registered with the Transportation Security Administration ("TSA") to deliver property outside of the United States, no sales tax would be due on this transaction.

# Where Sotheby's is Not Required to Collect Sales Tax Sotheby's is not required to collect sales tax on property delivered to states other than those listed above. If the property is delivered to a state where Sotheby's is not required to collect sales tax, it is the responsibility of the purchaser to self-assess any sales or use tax and remit it to taxing authorities in that state.

Sotheby's is not required to collect sales tax for property delivered to the purchaser outside of the United States.

#### **Restoration and Other Services**

Regardless of where the property is subsequently transported, if any framing or restoration services are performed on the property in New York, it is considered to be a delivery of the property to the purchaser in New York, and Sotheby's will be required to collect the 8.875% New York sales tax.

Certain Exemptions Most states that impose sales taxes allow for specified exemptions to the tax. For example, a registered re-seller such as a registered art dealer may purchase without incurring a tax liability, and Sotheby's is not required to collect sales tax from such re-seller. The art dealer, when re-selling the property, may be required to charge sales tax to its client, or the client may be required to self-assess sales or use tax upon acquiring the property.

Local Tax Advisors As sales tax laws vary from state to state, Sotheby's recommends that clients with questions regarding the application of sales or use taxes to property purchased at auction seek tax advice from their local tax advisors.

#### IMPORTANT NOTICES

Property Collection As of March 19, 2018, property that is sold, has bought in, or is to be returned to the consignor will be moved to our temporary offsite location at Crozier Fine Arts at One Star Ledger Plaza, 69 Court Street, Newark, NJ (SLP Warehouse). Certain items of property, including jewelry, watches, silver, works on panel and items valued \$10 million or more will remain at 1334 York Avenue. All other property will be moved to our temporary offsite location on the day the applicable sale concludes and is available for pickup after two business days. Invoices and statements will indicate your property's location.

Property Payment All property must be paid in full before collection or release from any Sotheby's location. Payment must be made through Sotheby's New York Post Sale Services by way of our acceptable forms of payment methods mentioned on your invoice. To arrange for payment, please contact Post Sale Services at +1 212 606 7444 or USPostSaleServices@sothebys. com. Payment will not be accepted at the offsite facility. Dealers and resale clients should fill out the appropriate forms where applicable or contact Post Sale Services with any questions.

Loss and Liability Unless otherwise agreed by Sotheby's, all sold property must be removed from any of our premises (including the SLP Warehouse) by the buyer at their expense no later than 30 calendar days following its sale. Buyers are reminded that Sotheby's liability for loss or damage to sold property shall cease no later than 30 calendar days after the date of the auction.

Collection & Shipping The SLP Warehouse requires 24 hours advanced notice for collection of property. Please arrange this through our Post Sale Services team at 1212 606 7444 or USPostSaleServices@ sothebys.com.

For in-person collections at our offsite location, please alert Post Sale Services of your proposed collection date, ensure that all outstanding invoices have been paid for, and that you or your agent have the appropriate photo identification upon arrival.

If you are using your own shipper to collect property, please provide a letter of authorization and instruct your shipper to email their bill of lading to billsoflading @sothebys.com and ensure the correct collection location is specified.

Sotheby's can arrange for delivery of your property through one of our contracted vendors or can coordinate pick up at our offsite location with you or your shipper directly. Please contact Post Sale Services at +1 212 606 7444 or USPostSaleServices @sothebys.com to start your collection process.

Regarding Jewelry Statements in the catalogue regarding the condition of lots in this sale usually appear in the description. However, the absence of any such reference does not imply that a lot is in perfect condition or completely free from wear or imperfections. Sotheby's will be pleased to offer condition reports of the lots to potential purchasers. Please call the jewelry representatives as set forth in the front of the catalogue.

Any gemological terms used in the catalogue have the same meanings as set forth in the Federal Trade Commission's guides for the jewelry, precious metals and pewter industries. A copy of these guidelines is available from Sotheby's upon request.

Regarding Diamonds Sotheby's shares the concerns expressed by the United Nations Security Council with respect to uncut diamonds potentially coming from Angola and Sierra Leone. We will comply fully with any obligations imposed in connection with the Security Council's resolutions regarding these matters.

#### Treatment and Condition of

**Gemstones** Traditionally, gemstones have been treated by a variety of techniques to enhance color and generally to improve their appearance. Typically, rubies and sapphires have been heat treated and emeralds have been treated by oil or resin to improve color and clarity. These or other techniques, such as dyeing, irradiation,

SOTHEBY'S

coating and impregnation, may be used on other gemstones.

Although it is widely believed that heat treatments are permanent, purchasers should assume that any treatment may not be permanent in nature and that over time special care of the stone may be required. Prospective purchasers are reminded that, unless the catalogue description specifically states that a stone is natural, we have assumed that some form of treatment may have been used and that such treatment may not be permanent. Our presale estimates reflect this assumption.

To the extent that Sotheby's has laboratory reports containing specific information on the treatment of a stone, these reports are made available for review by potential purchasers. Available reports from internationally recognized gemological laboratories will be noted in the description of the item. New forms of treatments and new scientific methods to discern them are constantly being developed. Consequently, there may be a lack of consensus among laboratories as to whether gemstones have been treated, the extent of the treatment or the permanence of the treatment.

References in the catalogue descriptions to certificates or reports issued by gemological laboratories are included only for the information of bidders and Sotheby's accepts no responsibility for the accuracy, terms or information contained in such certificates or reports.

Statements in the catalogue regarding the condition of lots in this sale usually appear in the description. However, the absence of any such reference does not imply that a lot is in perfect condition or completely free from wear or imperfections. Sotheby's will be pleased to offer condition reports on all lots of the sale to potential purchasers. Please call the jewelry representatives set forth in the front of the catalogue.

#### **Gemological Certificates and Reports**

References in the catalogue descriptions to certificates or reports issued by gemological laboratories are provided only for the information of bidders, and Sotheby's does not guarantee and accepts no responsibility for the accuracy, terms or information contained in such certificates or reports. Please also note that laboratories may differ in their assessment of a gemstone (including its origin and presence, type and extent of treatments) and their certificates or reports may contain different results.

Certificates of Authenticity Various manufacturers may not issue certificates of authenticity upon request. Except as specifically noted in the catalogue, Sotheby's will not be required to furnish the purchaser with a certificate of authenticity from the manufacturer at any time. Unless the requirements for a rescission of the sale under the Terms of Guarantee are satisfied, the failure of a manufacturer to issue a certificate will not constitute grounds to rescind the sale.

Country of Origin While Sotheby's attempts to obtain accurate information on the country of origin of the gemstones, in accordance with paragraph 1 of the Conditions of Sale, Sotheby's does not

guarantee the correctness of the catalogue or other description of the gemstones including the country of origin.

Stone Weights Weights of stones printed in the catalogue and preceded by the words "stated to be," "about" or "approximately" are not guaranteed by Sotheby's. Prospective buyers are reminded that all lots are sold as shown.

#### **Regarding Condition of Watches**

Prospective buyers should satisfy themselves by personal inspection as to the condition of each lot. If the prospective buyer is not able to inspect the piece, as a courtesy to our clients, we are pleased to provide an opinion of the condition of the property on request. However, since we are not professional watch restorers, any statement of opinion listed is purely subjective and is not a statement of fact. Condition reports may not specify all mechanical replacements or imperfections to the move ment, case, dial, pendulum, separate base(s) or dome or any other replacements or additions to the lot. The absence of a condition report or the absence of a reference to damage in the catalogue does not imply that the lot is in good condition, working order or free from restoration or repair. Watches in waterresistant cases have no warranties that are made that the watches are currently water-resistant. Please note that we do not guarantee the authenticity of any individual component parts, such as wheels, hands, crowns, crystals, screws, bracelets and leather bands, since subsequent repairs and restoration work may have resulted in the replacement of original parts.

In addition, certain manufacturers will not service or repair pieces if all of the component parts are not original to the piece. The refusal of a manufacturer to service or repair a piece on this basis does not constitute grounds to rescind the sale under the Terms of Guarantee. Furthermore, in reference to bands, we do not guarantee the material of manufacture. Please be advised that the purchaser will be responsible for complying with any applicable export and import matters, particularly in relation to endangered species and the United States Department of Fish and Wildlife Services.

Payments and Pick-Up All payments to be made at Client Accounting, 1334 York Avenue. See below for dates and times.

Pick-Up: From the Jewelry Department, 6th Floor, 1334 York Avenue.

The schedule for property pick-up will be as follows:

Wednesday, 17 April 10:00 am - 5:00 pm Thursday, 18 April 10:00 am - 5:00 pm Monday, 22 April 10:00 am - 5:00 pm Tuesday, 23 April 10:00 am - 5:00 pm Wednesday, 24 April 10:00 am - 5:00 pm

#### Photography

SquareMoose New York +1 212 643 2505

#### **International Departments**

For a full listing of our offices and salerooms worldwide with detailed information on all of Sotheby's services, visit sothebys.com

David Bennett Worldwide Chairman, International Jewelry Division

Gary Schuler Chairman,

North and South America

Daniela Mascetti Chairman, Jewelry, Europe

Patti Wong Chairman, Asia Chairman, Sotheby's Diamonds

Laurence Nicolas Global Managing Director Jewelry and Watches

Maria Kelly Deputy Managing Director, Live Auction Jewelry and Watches

Josh Pullan
Deputy Managing Director,
Digital and New Initiatives
Jewelry and Watches

Andres White Correal Director of International Business Development

Yang Bu Business Director, North America

Rebecca Spencer Business Director, Europe

Scott Roworth Business Director, Asia

#### **NORTH AMERICA**

#### **NEW YORK**

Gary Schuler Frank Everett Catharine Becket Robin Wright Alexander Eblen Kendall Reed +1 212 606 7392

Sotheby's Diamonds +1 212 894 1400

#### WEST

Carol Elkins +1 415 772 9027 Quig Bruning +1 310 274 0340 Yvonne Teng +1 310 786 1864

#### EUROPE

#### GENEVA

David Bennett Daniela Mascetti Jessica Wyndham Olivier Wagner Benoit Repellin

Prince Abdul'Aziz Toussoun ‡ Associate, Europe +41 22 908 48 49

#### LONDON

Kristian Spofforth Nikita Binani Justin Roberts Johanna Seehuusen Andres White Correal +44 20 7293 6409

#### MILAN

Sara Miconi +39 02 295 00201 Daniela Mascetti +41 22 908 48 49

#### PARIS

Magali Teisseire Gabriella Mantegani +33 1 5305 5337

#### AMSTERDAM

Albertine Verlinde +31 20 550 2204

#### **BRUSSELS**

Marianna Lora +32 2 648 0080

#### LUGANO

Iris Fabbri +41 91 993 30 60

#### MADRID

Andres White Correal +34 91 576 5714

#### MONACO

Douglas Walker +377 93 30 8880

#### MUNICH

Heinrich Graf von Spreti +49 89 2909 5121

#### ROME

Luisa Lepri +39 06 699 41791

#### TEL AVIV

Sigal Mordechai +972 3 560 1666

#### DUBAI

Sophie Stevens +44 78 4036 7595

#### VIENNA

Andrea Jungmann +43 1 512 4772

#### ASIA

#### HONG KONG

Shanne Ng Yvonne Chu Cristel Tan Diana Chang Quek Chin Yeow ‡ Flora Wong ‡ Willy Wong ‡ +852 2822 8112

Sotheby's Diamonds Lisa Chow +852 2822 8113

#### CHINA

#### BEIJING

Lei Tang +86 10 6408 8890

#### SHANGHAI

Rachel Shen +86 21 6288 7500

#### INDONESIA

Jasmine Prasetio +62 21 5797 3603

#### JAPAN

Aki Uemura +81 3 3230 2755

#### MALAYSIA

Walter Cheah ‡ +60 12 208 3917

#### **PHILIPPINES**

Angela Hsu +63 917 815 0075

#### SINGAPORE

Esther Seet +65 6732 8239

#### TAIWAN

Wendy Lin Nicolette Chou +886 2 2757 6689

#### THAILAND

Wannida Saetieo +66 2 286 0788

Consultant ‡

#### Diamond List

COLOR	CLARITY	CARAT WEIGHT	SHAPE	LOT
D	IF	5.21	Square Emerald Cut	70
D (Type IIa)	VVS1 (Potential)	7.54	Marquise	
D (Type IIa)	VVS1	5.72	Cushion	112
D	VS1	14.92	Round	151
E	VVS2	3.70	Pear	65
F	VS2	5.07	Pear	56
G	VVS1 (Potential)	3.23	Round	128
н	VS2	30.40	Round	152
н	VS2	5.55	Old European	51
Н	SI1	10.11	Round	41
ı	VS2	10.27	Circular Brilliant	146
К	VS2	6.69	Oval	38
L	VS2	10.82	Pear	106
U-V	VS2	10.44	Emerald Cut	19
E/F	VVS2/VS2	3.81 / 3.51	Old European	140
F/F	VS1/VS1	2.14 / 2.08	Cushion	71
F/F	VS2 / VS2	20.27 / 20.27	Round	153
G/H	VS2/VS1	4.03 / 4.01	Square Emerald Cut	110
н/н	VS1/VS1	4.14 / 4.08	Round Novelty Cut	43
J/J	VS1/VS2	4.56 / 4.78	Old European	83
Fancy Intense Blue (Type IIb) / D / E	VVS1/SI2/VS1	3.24 / 0.58 / 0.54	CCRMB / Pear / Pear	139
Fancy Brownish Reddish Orange	l1	2.21	Round	129
Fancy Intense Bluish Green / Fancy Vivid Purplish Pink	11 / N/A	0.40 / 0.61	Cushion / CCRMB	69
Fancy Intense Green	SI2	1.76	CCRMB	130
Fancy Vivid Yellow	VVS1	5.66	Emerald Cut	63
Fancy Vivid Yellow	VS2	3.06	Cut-Cornered Square Modified Brilliant-Cut	121
Fancy Deep Yellow	VS2	3.47	Round	68
Fancy Deep Grayish Greenish Yellow	N/A	1.35	Emerald Cut	126
Fancy Intense Yellow	VS2	8.88	Emerald Cut	138
Fancy Light Yellow	VS1	19.95	Round-Cornered Rectangular Mixed-Cut	66
Fancy Dark Yellowish Brown	VS2	10.50	Modified Shield Mixed-Cut	124
Fancy Brown-Yellow / Fancy Yellowish Brown	VS2 / VVS1	5.22 / 4.09	Emerald Cut / Square Emerald Cut	123

#### **Colored Stone List**

	RUBY					
LOT	SHAPE	CARAT WEIGHT	ORIGIN	TREATMENT	LAB	
80	Oval	6.50	Burmese	No Heat	AGL	
57	Oval	Approx. 3.90	Classic Burmese	No Heat	AGL	
147	Octagonal Mixed Cut	3.37	Classic Burmese	No Heat	AGL	

	EMERALD					
LOT	SHAPE	CARAT WEIGHT	ORIGIN	TREATMENT	LAB	
49	Emerald Cut	5.33	Colombian	Traditional; No Oil	AGL & SSEF	
57	Cabochon	3.93	Colombian	Traditional; Insignificant to Minor	AGL	
71	Emerald Cut	4.34	Classic Colombian	Traditional; No Oil	AGL	
104	Round	2.24	Colombian	Traditional; No Oil	AGL	
108	Emerald Cut	12.64	Colombian	Modern; Faint	AGL	
114	Cushion	10.12	Colombian	Traditional; Insignificant	AGL	
137	Emerald Cut	8.05	Colombian	Traditional; Insignificant	AGL	
141	Cushion	15.20	Colombian	Modern; Insignificant to Minor	AGL	
142	Pear	12.71 & 10.29	Colombian	Traditional; Minor	AGL	

			SAPPHIRE		
LOT	SHAPE	CARAT WEIGHT	ORIGIN	TREATMENT	LAB
28	Oval	12.51 / 11.34	Madagascar / Ceylon	No Heat	AGL & GIA
30	Cushion	Approx. 22.95	Madagascar / Burmese	No Heat	AGL & GIA
33	Oval	7.53 / 7.02	Ceylon	No Heat	AGL
42	Cushion	Total Weight 29.63	Ceylon	No Heat	AGL & GIA
44	Cushion	2.80	Kashmir	No Heat	AGL
64	Cushion	11.94	Burmese	No Heat	AGL
72	Octagonal Step-Cut	15.87	Ceylon	No Heat	SSEF
76	Octagonal Step-Cut	16.98	Burmese	No Heat	SSEF
132	Cushion	12.40	Ceylon (Color Change)	No Heat	AGL & SSEF

#### **Diamond Clarity Grading**

Clarity grading relates to the impurities in a diamond. All clarity grading is carried out under a 10x magnification. The more impurities in a diamond the lower the price per carat.

FL	Flawless	No blemishes or inclusions
IF	Internally Flawless	No inclusions and only insignificant blemishes.
VVS1 & VVS2	Very Very Slightly Included	Extremely difficult to see, visible only from the back of the stone, or small and shallow enough to be removed easily by repolishing. In VVS2, inclusions are still very difficult to see.
VS1 & VS2	Very Slightly Included	Still difficult to see with the untrained eye.
SI1 & SI2	Slightly Included	Easy (SI1) or very easy (SI2) to see with a 10x lens. When these have been located with a 10x lens, look at the stone with the naked eye and the inclusions can sometimes be spotted
11, 12 & 13	Imperfect	May be eye-visible face up without the aid of a lens. In I3, they may threaten the stones durability.

#### Glossary of Terms

The following are examples of the terminology used in this catalogue. Please note that all statements made in this catalogue are made subject to the provisions of the Conditions of Sale and Terms of Guarantee printed in this catalogue:

#### **SIGNATURES**

#### **BOLD TYPE HEADING**

When the maker's name appears in Bold Type Heading in the catalogue description, in Sotheby's opinion, the piece is by the named jeweler.

#### NAME OF JEWELER

When we state the name of a maker in the catalogue description below the Bold Type Heading, we mean that, in Sotheby's opinion, although unsigned, the piece is by the named jeweler.

#### **MOUNTING BY**

When we state in the catalogue description below the Bold Type Heading "Mounting by\_\_\_\_\_", we mean that, in Sotheby's opinion, the mounting is by the jeweler, and the gemstones were not supplied by the jeweler or the piece has been altered in some way after its manufacture.

#### **Diamond Color Grading**

GIA
D
E
F
G
Н
I
J
К
L
М
<b>↓</b> 2
Z
Z+

The top color grades, D, E, F, for a diamond is when it looks colorless against a white background.

In near colorless diamonds, G, H, I, there is a slight trace of color which will not be apparent to the untrained eye. Stones 0.50Ct or less will look colorless.

Diamonds graded J, K, L, will have notable traces of color. Small stones in this range will 'face up' colorless when mounted but larger stones will be tinted.

Diamonds graded M-Z will display a yellowish tint even to the untrained eye.

Z+ color grade indicates that the diamond is of fancy color and therefore fall into a different price bracket.

#### **Ring Sizes**

Metric	French/Japanese	English	American
37.8252	-	A	1/2
38.4237	-	A1/2	3/4
39.0222	=	В	1
39.6207	=	B1/2	11/4
40.2192	-	С	11/2
40.8177	_	C1/2	13/4
41.4162	1	D	2
42.0147	2	D1/2	21/4
42.6132	=	E	21/2
43.2117	3	E1/2	23/4
43.8102	4	F	3
44.4087	_	F1/2	33/4
45.0072	5	G	31/4
45.6057	_	G1/2	31/2
46.2042	6	Н	33/4
46.8027	=	H1/2	4
47.4012	7	l l	41/4
47.9997	8	11/2	41/2
48.5982	=	J	43/4
49.1967	9	J1/2	5
49.7952	10	K	51/4
50.3937	=	K1/2	51/2
50.9922	11	L	53/4
51.5907	=	L1/2	6
52.1892	12	М	61/4
52.7877	13	M1/2	61/2
53.4660	_	N	63/4
54.1044	14	N1/2	7
54.7428	15	0	7
55.3812	_	01/2	71/4
56.0196	16	P	71/2
56.6580	_	P1/2	73/4
57.2964	17	Q	8



Notes

#### **Index of Makers**

Maker	Lot	Maker	Lot
Aletto Brothers	27, 40	Nicola Morelli	90
Betteridge	152, 153	Oscar Heyman & Brothers	30
Bulgari	71, 76, 100, 114, 145	Paulding Farnham for Tiffany & Co. Phillips Bros.	98 88
Cannilla for Masen:	za 23		
Carlo Giuliano	86, 89, 91, 92	Raymond Yard	147
Cartier	15, 22, 25, 35, 36, 37, 62, 77, 103,	René Boivin	118, 119
	113, 122, 133, 134, 135, 140	René Lalique	87
Castellani	93, 97	·	
Chaumet	72	Schlumberger for Tiffany & Co.	12, 131
David Webb	1, 2, 3, 4, 6, 7, 8, 9, 10, 18, 24,	Taffin	126
	26, 50, 101, 102, 105, 107	Thomas Herman and Patsy Croft	99
Dreicer & Co.	84	Tiffany & Co. 45, 48	3, 57, 61, 104
Gianmaria Buccella	ati 128	Van Cleef & Arpels 5, 11, 16, 17, 29, 31,	115, 116, 117,
Graff	70, 112	1	20, 136, 144
		William Goldberg	123
Harry Winston	20, 21, 141, 142		
Hemmerle	32		
Julius Cohen	19		
Mario Buccellati	13, 14		

#### BOARD OF DIRECTORS

Domenico De Sole

Chairman of the Board

The Duke of Devonshire

Deputy Chairman of the Board

Tad Smith

President and

Chief Executive Officer

Jessica Bibliowicz

Linus W. L. Cheung

Kevin Conroy

Daniel S. Loeb

Marsha E. Simms

Diana L. Taylor

Dennis M. Weibling

Harry J. Wilson

David Schwartz

Corporate Secretary

#### SOTHEBY'S EXECUTIVE MANAGEMENT

Jill Bright

**Human Resources** 

& Administration

Worldwide

Amy Cappellazzo

Chairman

Fine Art Division

Valentino D. Carlotti **Business Development** 

Worldwide

John Cahill

**Chief Commercial Officer** 

Worldwide

Kevin Ching

Chief Executive Officer

Asia

Ken Citron

Operations & Chief

Transformation Officer

Worldwide

Lauren Gioia

Communications

Worldwide

David Goodman

Digital Development

& Marketing

Worldwide

Mike Goss

**Chief Financial Officer** 

Jane Levine

**Chief Compliance Counsel** 

Worldwide

Laurence Nicolas

Managing Director

Jewelry & Watches

Worldwide

Jonathan Olsoff

General Counsel

Worldwide

Jan Prasens

Managing Director

Europe, Middle East, Russia,

India and Africa

Allan Schwartzman

Chairman

Fine Art Division

Patti Wong Chairman

Asia

#### SOTHEBY'S INTERNATIONAL COUNCIL

Robin Woodhead

Chairman

Jean Fritts

Deputy Chairman

John Marion

Honorary Chairman

Juan Abelló

Judy Hart Angelo

Anna Catharina Astrup

Nicolas Berggruen Philippe Bertherat

Lavinia Borromeo

Dr. Alice Y.T. Cheng

Laura M. Cha

Halit Cingillioğlu

Jasper Conran

Henry Cornell

Quinten Dreesmann

Ulla Dreyfus-Best

Jean Marc Etlin

Tania Fares

Comte Serge de Ganay

Ann Getty

Yassmin Ghandehari

Charles de Gunzburg

Ronnie F. Heyman

Shalini Hinduja

Pansy Ho

Prince Amyn Aga Khan

Catherine Lagrange

Edward Lee

Jean-Claude Marian

Batia Ofer

Georg von Opel

Marchesa Laudomia Pucci Castellano

David Ross

Patrizia Memmo Ruspoli

Rolf Sachs

René H. Scharf

Biggi Schuler-Voith

Judith Taubman Olivier Widmaier Picasso

The Hon. Hilary M. Weston,

CM, CVO, OOnt

#### CHAIRMAN'S OFFICE

#### AMERICAS

Lisa Dennison

Benjamin Doller George Wachter

Thomas Bompard

Lulu Creel

Nina del Rio

Mari-Claudia Jimenez

Brooke Lampley

Gary Schuler

Simon Shaw

Lucian Simmons

August Uribe

#### EUROPE

Oliver Barker

Helena Newman Mario Tavella

Alex Bell

Michael Berger-Sandhofer

David Bennett

Lord Dalmenv

Claudia Dwek

Edward Gibbs

George Gordon

Franka Haiderer

Henry Howard-Sneyd Caroline Lang

Cedric Lienart

Daniela Mascetti

Wendy Philips Lord Poltimore

Samuel Valette

Albertine Verlinde Roxane Zand

#### ASIA

Patti Wong

Nicolas Chow

Lisa Chow

Jen Hua

Yasuaki Ishizaka Wendy Lin

Rachel Shen



Sotheby's Example Collectors gather here.